## Edgar Filing: VistaGen Therapeutics, Inc. - Form 4

VistaGen Th Form 4 January 13, 2	erapeutics, Inc. 2015										
								-	OMB APPROVAL		
UNITED STATES SECURITIES AND EXCHANGE COMMISSION								N OMB Number:	3235-0287		
Check th		STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES									
if no long subject to Section 1 Form 4 c Form 5	5 SIAIEN l6. or										
obligatio may com <i>See</i> Instr 1(b).	ns tinue. Section 17(	a) of the l	Public U	Itility Hol	ding Con		nge Act of 1934, of 1935 or Secti 940				
(Print or Type I	Responses)										
1. Name and Address of Reporting Person <u>*</u> DOTSON JERROLD DUANE			2. Issuer Name <b>and</b> Ticker or Trading Symbol VistaGen Therapeutics, Inc. [VSTA				5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (	Middle)		of Earliest T		L	(Cho	eck all applicabl	e)		
(M				Day/Year) 2015			Director 10% Owner Officer (give title Other (specify below) below) CFO AND SECRETARY				
SOUTH SA	4. If Amendment, Date Original Filed(Month/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>						
	CO, CA 94080						Person				
(City)	(State)	(Zip)	Tab	ole I - Non-I	Derivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transactio Code (Instr. 8)	4. Securit nAcquired Disposed (Instr. 3, 4	(A) or of (D) and 5)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	<ul><li>(A)</li><li>or</li><li>(D) Price</li></ul>	Transaction(s) (Instr. 3 and 4)				
Reminder: Rep	oort on a separate line	e for each cl	ass of sec	urities benef	icially own	ned directly of	or indirectly.				
					inforn requir	nation cont ed to respo ys a curre	spond to the colle tained in this forn ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)		
	Tab	le II - Deriv	vative Sec	curities Acq	uired, Dis	posed of, or	Beneficially Owner	d			

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	) .	Securities Acquired or Dispos (D) (Instr. 3, 4 and 5)	(A) sed of	(Month/Day/Y	ear)	(Instr. 3 and	4)
				Code V	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Warrant for Common Stock	\$ 10	01/11/2015		A		50,000		01/11/2015	01/11/2020	Common Stock	50,000
Reporting Owners											
Reporting Owner Name / Address					Relatio	onship	\$				
Reporting Owner Funite, Address		Director	10% Ov		ner	Officer		Other			
DOTSON JERROLD DUANE C/O VISTAGEN THERAPEUTICS, INC. 343 ALLERTON AVENUE SOUTH SAN FRANCISCO, CA 94080								FO AND CRETARY			
Signat	tures										
/s/ Jerrold Dotson	l	01/13/201	5								

<u>\*\*</u>Signature of Reporting Person Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.