### **CITIZENS & NORTHERN CORP**

Form 4

August 17, 2016

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

5. Relationship of Reporting Person(s) to

Persons who respond to the collection of

information contained in this form are not

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2005

0.5

January 31, Expires:

Estimated average

**OMB APPROVAL** 

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if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

|   | UDY THOMAS L JR                                      |  | Symbol CITIZENS & NORTHERN CORP [CZNC]                      |                                 |    |   |   |             | Issuer (Check all applicable)  |   |          |  |  |
|---|--|--|---|---------------------------------|----|---|---|-------------|--|---|----------|--|--|
| (Last) (First) (Middle)  12 GREENBRIAR DRIVE  |  |  | 3. Date of Earliest Transaction (Month/Day/Year) 08/12/2016 |                                 |    |   |   |             | Director 10% Owner Security Other (give title Other (specify below)  Executive Vice President                      |   |          |  |  |
|   | 4. If Amendment, Date Original Filed(Month/Day/Year) |  |   |                                 |    |   | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person |             |  |   |          |  |  |
| WELLSBO   |  |  |   |                                 |    |   | Form filed by More than One Reporting Person  |             |  |   |          |  |  |
| (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |  |   |                                 |    |   |   |             |  |   |          |  |  |
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction Dat<br>(Month/Day/Year                | action Date 2A. Deeme Day/Year) Execution I any (Month/Day |   | 3.<br>Transa<br>Code<br>(Instr. | 8) | 4. Securities Acquired n(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price |   |             | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) |   |          |  |  |
| Common<br>Stock   | 08/12/2016   |  |   | J <u>(1)</u>                    |    | 83  | A   | \$<br>21.44 | 9,766  | D |          |  |  |
| Common<br>Stock   |  |  |   |                                 |    |   |   |             | 5,943  | I | By ESOP  |  |  |
| Common<br>Stock   |  |  |   |                                 |    |   |   |             | 13   | I | By Child |  |  |
|   |  |  |   |                                 |    |   |   |             |  |   |          |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1474

(9-02)

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc   | cisable and | 7. Titl | e and        | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|-----------------|-------------|---------|--------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | orNumber   | Expiration Date |             | Amou    | nt of        | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/     | Year)       | Under   | lying        | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e               |             | Securi  | ities        | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    | Securities |            |                 |             | (Instr. | 3 and 4)     |             | Owne   |
|             | Security    |                     |                    |            | Acquired   |                 |             |         |              |             | Follo  |
|             |             |                     |                    |            | (A) or     |                 |             |         |              |             | Repo   |
|             |             |                     |                    |            | Disposed   |                 |             |         |              |             | Trans  |
|             |             |                     |                    |            | of (D)     |                 |             |         |              |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |                 |             |         |              |             |        |
|             |             |                     |                    |            | 4, and 5)  |                 |             |         |              |             |        |
|             |             |                     |                    |            |            |                 |             |         | Amount       |             |        |
|             |             |                     |                    |            |            |                 |             |         |              |             |        |
|             |             |                     |                    |            |            | Date            |             |         | or<br>Number |             |        |
|             |             |                     |                    |            |            | Exercisable     |             |         | of           |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |                 |             |         | Shares       |             |        |
|             |             |                     |                    | Code V     | (A) $(D)$  |                 |             |         | Shares       |             |        |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RUDY THOMAS L JR 12 GREENBRIAR DRIVE WELLSBORO, PA 16901

**Executive Vice President** 

### **Signatures**

/s/ Teri L Mitchell POA for Thomas L Rudy Jr 07/23/09

08/17/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Dividend of 08/12/16 posted to D/R Account

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