

PATRIOT NATIONAL BANCORP INC
Form 8-K
November 14, 2016

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

**Pursuant to Section 13 or 15(d) of the
Securities Exchange Act of 1934**

Date of report (Date of earliest event reported): November 8, 2016

PATRIOT NATIONAL BANCORP, INC.

(Exact Name of Registrant as Specified in its Charter)

Connecticut 000-29599 06-1559137
(State or Other Jurisdiction) (Commission (IRS Employer
of Incorporation) File Number) Identification No.)

900 Bedford Street, Stamford, Connecticut 06901
(Address of Principal Executive Offices) (Zip Code)

Registrant's telephone number, including area code: (203) 324-7500

Not Applicable

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the Registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Section 5 – Corporate Governance and Management

Item 5.07. Submission of Matters to a Vote of Security Holders.

On November 8, 2016, Patriot National Bancorp, Inc. (“Patriot”) held its 2016 Annual Meeting of Shareholders. The matters listed below were submitted to a vote of the shareholders. Patriot’s majority shareholder, which beneficially owns approximately 77% of its outstanding voting stock, voted in favor of these resolutions. The final results of the shareholder vote are as follows:

(1) To elect five (5) directors to serve until Patriot’s Annual Meeting of Shareholders to be held in 2017 and until their successors are elected.

The results of the voting were as follows:

NAME OF DIRECTOR	VOTES FOR	VOTES WITHHELD	BROKER NON-VOTES
Michael A. Carrazza	3,042,150	0	0
Edward N. Constantino	3,042,150	0	0
Raymond B. Smyth	3,042,150	0	0
Emile Van den Bol	3,042,150	0	0
Michael J. Weinbaum	3,042,150	0	0

(2) To ratify the appointment of BDO USA, LLP to serve as the independent registered public accounting firm for Patriot for the 2016 fiscal year.

The results of the voting were as follows:

VOTES FOR 3,042,150

VOTES AGAINST 0

ABSTAINED 0

BROKER NON-VOTES 0

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

PATRIOT NATIONAL BANCORP, INC.

Date: November 11, 2016 By: /s/ Neil McDonnell

Neil McDonnell

Executive Vice President & Chief Financial Officer