Gerber Stephan Form 3 February 15, 2019

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement WASHINGTON PRIME GROUP INC. [WPG] Gerber Stephan (Month/Day/Year) 02/12/2019 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O WASHINGTON PRIME (Check all applicable) GROUP INC., 180 EAST **BROAD STREET** 10% Owner Director \_X\_\_ Officer (Street) Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Senior VP, Property Mgmt. \_X\_ Form filed by One Reporting Person COLUMBUS. OHÂ 43215 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Common Shares of Beneficial Interest, D Â 407 \$0.0001 par value Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Title and Amount of 1. Title of Derivative Security 6. Nature of Indirect 2. Date Exercisable and 5. (Instr. 4) **Expiration Date** Securities Underlying Beneficial Ownership Conversion Ownership (Month/Day/Year) **Derivative Security** or Exercise Form of (Instr. 5)

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			(Instr. 4)		Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Stock Option (Right to Buy)	(1)	03/04/2020	Common Stock, \$0.0001 par value per share	7,839	\$ 5.76	D	Â
Stock Option (Right to Buy)	(1)	05/04/2021	Common Stock, \$0.0001 par value per share	7,839	\$ 11.97	D	Â
Stock Option (Right to Buy)	(1)	05/09/2022	Common Stock, \$0.0001 par value per share	7,839	\$ 12.67	D	Â
Stock Option (Right to Buy)	(1)	05/09/2023	Common Stock, \$0.0001 par value per share	7,839	\$ 16.56	D	Â
Stock Option (Right to Buy)	(1)	05/06/2024	Common Stock, \$0.0001 par value per share	7,839	\$ 13.1	D	Â
Stock Option (Right to Buy)	(1)	05/21/2025	Common Stock, \$0.0001 par value per share	10,000	\$ 14.28	D	Â
Stock Option (Right to Buy)	(2)	05/17/2026	Common Stock, \$0.0001 par value per share	7,500	\$ 9.95	D	Â
Restricted Stock Units	(3)	(3)	Common Stock, \$0.0001 par value per share	1,250	\$ <u>(4)</u>	D	Â
Restricted Stock Units	(5)	(5)		2,313	\$ <u>(4)</u>	D	Â

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Common Stock, \$0.0001 par value per share

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Gerber Stephan

C/O WASHINGTON PRIME GROUP INC. 180 EAST BROAD STREET COLUMBUS, OHÂ 43215

 $\hat{A}$   $\hat{A}$   $\hat{A}$  Senior VP, Property Mgmt.  $\hat{A}$ 

### **Signatures**

/s/ Stephen E. Ifeduba, as Attorney-in-Fact 02/14/2019

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These stock options are exercisable and, subject to the terms of the stock option award agreement, will remain exercisable until the expiration date.
- 5,000 of these options are exercisable and the remaining 2,500 will become exercisable on May 17, 2019 subject to the terms of the stock option award agreement. The options will remain exercisable, subject to the terms of the stock option award agreement, until the expiration date.
- (3) The listed restricted stock units ("RSUs") shall vest and become non-forfeitable in equal installments on May 18, 2019 and May 18, 2020 subject to the terms and conditions of the award agreement.
- (4) Each of the RSUs was issued to the Reporting Person as incentive compensation and represents a contingent right to receive one share of the Issuer's common stock.
- (5) The listed RSUs shall vest and become non-forfeitable in three equal installments on April 4, 2019, April 4, 2020 and April 4, 2021 subject to the terms and conditions of the award agreement.

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#### **Remarks:**

The filing of this Statement shall not be construed as an admission: (a) that the person filing this

Exhibit 24 – Limited Power of Attorney, dated February 12, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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