Rexnord Corp
Form 4
May 27, 2015
FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION

## Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b).
(Print or Type Responses)


MILWAUKEE, WI 53214
5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

6. Individual or Joint/Group Filing(Check

Applicable Line)
_X_Form filed by One Reporting Person __ Form filed by More than One Reporting Person

| (City) | (State) | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. <br> Transac <br> Code <br> (Instr. 8) <br> Code | 4. Securities nAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5 <br> (A) or <br> Amount <br> (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |

Stock
2,130
D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

| Persons who respond to the collection of | SEC 1474 |
| :--- | ---: |
| information contained in this form are not | $(9-02)$ |
| required to respond unless the form |  |
| displays a currently valid OMB control |  |
| number. |  |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| Edgar Filing: Rexnord Corp - Form 4 |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1. Title of Derivative Security (Instr. 3) | 2. <br> Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed <br> Execution Date, if any (Month/Day/Year) | 4. <br> 5. Number of TransactiorDerivative Code Securities <br> (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |  | 6. Date Exercisable and Expiration Date (Month/Day/Year) |  | 7. Title and Am Underlying Secu (Instr. 3 and 4) |
|  |  |  |  | Code | (A) (D) | Date Exercisable | Expiration Date | $\begin{array}{ll}\text { Title } & \text { or } \\ & \mathrm{Nu} \\ \text { of }\end{array}$ |
| Stock Option (right to buy) | \$ 4.804 |  |  |  |  | (1) | 07/30/2019 | Common Stock |
| Stock Option (right to buy) | \$ 8.888 |  |  |  |  | 07/29/2011 ${ }^{(2)}$ | 07/29/2020 | Common Stock |
| Stock Option (right to buy) | \$ 22.03 |  |  |  |  | 05/11/2012 ${ }^{(3)}$ | 05/11/2022 | Common Stock |
| Stock Option (right to buy) | \$ 19 |  |  |  |  | 05/23/2013 (4) $^{\text {( }}$ | 05/23/2023 | Common Stock |
| Stock Option (right to buy) | \$ 29.31 |  |  |  |  | 09/03/2015(5) | 09/03/2024 | Common Stock |
| Stock Option (right to buy) | \$ 25.77 | 05/22/2015 |  | A | 30,702 | 05/22/2016 ${ }^{(6)}$ | 05/22/2025 | $\begin{aligned} & \text { Common } \\ & \text { Stock } \end{aligned}$ |
| Performance Stock Units | (7) | 05/22/2015 |  | A | 3,412 | (7) | (7) | Common Stock |

## Reporting Owners

## Reporting Owner Name / Address

## Relationships

Director $10 \%$ Owner Officer Other
Wehr Craig
4701 W. GREENFIELD AVE.
MILWAUKEE, WI 53214

Group Ex.,
President-Zurn

## Signatures

/s/ Jeffrey J. LaValle under Power of Attorney for Craig G. Wehr

05/27/2015
${ }_{-}^{* *}$ Signature of Reporting Person
Date

## Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. $78 \mathrm{ff}(\mathrm{a})$.
(1) Option fully vested.
(2) One half of the original option vests in five annual installments beginning on the date listed above. The other half vests in five annual installments on March 31 of each fiscal year based on continued employment.


## Edgar Filing: Rexnord Corp - Form 4

(3) One half of the original option vested three years from the date listed above and the other half vests five years from the date listed above.
(4) One half of the original option vests three years from the date listed above and the other half vests five years from the date listed above.
(5) The original option vests in four annual installments beginning on the date listed above.
(6) The original option vests in three annual installments beginning on the date listed above.

Each performance stock unit ("PSU") represents a contingent right to receive one share of Rexnord Corporation's common stock if certain conditions are satisfied during the three-year performance period (fiscal 2016-fiscal 2018). Vesting of one half of the PSUs is dependent
(7) on the relative total shareholder return of Rexnord Corporation's common stock as compared to companies in the S\&P 1500 Industrials Sector Index and vesting of the other half depends on goals related to absolute free cash flow conversion. The target number of PSUs that may be earned is reported above; the maximum amount is $200 \%$ of the number reported.
Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

