Wehr Craig Form 4 September 06, 2017

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number: Expires:

Check this box if no longer subject to Section 16. Form 4 or Form 5

**SECURITIES** 

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Wehr Craig

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Middle)

(Zip)

Rexnord Corp [RXN]

(Check all applicable)

4701 W. GREENFIELD AVE.

3. Date of Earliest Transaction

(Month/Day/Year)

Director

10% Owner Other (specify

09/03/2017

X\_ Officer (give title below) Group Ex., President-Zurn

below)

**OMB APPROVAL** 

Estimated average

burden hours per

response...

3235-0287

January 31,

2005

0.5

(Street)

(State)

(First)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

MILWAUKEE, WI 53214

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Beneficial Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported

D

(A)

Transaction(s) (Instr. 3 and 4)

Amount (D) Price

 $F^{(1)}$ 

2,130

Common Stock

09/03/2017

241 D 23.96

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: Wehr Craig - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Stock Option (right to buy)	\$ 4.804					(2)	07/30/2019	Common Stock	29,964
Stock Option (right to buy)	\$ 8.888					(2)	07/29/2020	Common Stock	20,813
Stock Option (right to buy)	\$ 22.03					(2)	05/11/2022	Common Stock	35,000
Stock Option (right to buy)	\$ 19					05/23/2013(3)	05/23/2023	Common Stock	45,000
Stock Option (right to buy)	\$ 29.31					09/03/2015(4)	09/03/2024	Common Stock	27,100
Stock Option (right to buy)	\$ 25.77					05/22/2016(5)	05/22/2025	Common Stock	30,702
Stock Option (right to buy)	\$ 19.7					05/20/2017(5)	05/20/2026	Common Stock	46,412
Stock Option (right to buy)	\$ 23.13					05/19/2018(5)	05/19/2027	Common Stock	20,062
Performance Stock Units	<u>(6)</u>					<u>(6)</u>	<u>(6)</u>	Common Stock	3,412

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Wehr Craig 4701 W. GREENFIELD AVE. MILWAUKEE, WI 53214			Group Ex., President-Zurn				

## **Signatures**

/s/ Jeffrey J. LaValle under Power of Attorney for Craig G. Wehr 09/06/2017

\*\*Signature of Reporting Person Date

Reporting Owners 2

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were withheld to satisfy tax withholding obligations in connection with the vesting of restricted stock units.
- (2) Option fully vested.
- (3) One half of the original option vests three years from the date listed above and the other half vests five years from the date listed above.
- (4) The original option vests in four annual installments beginning on the date listed above.
- (5) The original option vests in three annual installments beginning on the date listed above.
  - Each performance stock unit ("PSU") represents a contingent right to receive one share of Rexnord Corporation's common stock if certain conditions are satisfied during the three-year performance period (fiscal 2016-fiscal 2018). Vesting of the PSUs is dependent on the
- (6) relative total shareholder return of Rexnord Corporation's common stock as compared to companies in the S&P 1500 Industrials Sector Index and on goals related to absolute free cash flow conversion. The target number of PSUs that may be earned is reported above; the maximum amount is 200% of the number reported.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.