Edgar Filing: CIENA CORP - Form 4

CIENA COF	RP										
Form 4											
February 18,	2015										
FORM	14								OMB AF	PPROVAL	
	UNITE	D STATES		RITIES A shington,			NGE C	COMMISSION	OMB Number:	3235-0287	
Check th									Expires:	January 31,	
if no long subject to		EMENT O	F CHAN	CHANGES IN BENEFICIAL OWN				NERSHIP OF	Estimated a	2005 Iverage	
Section 1	6.			SECUR	RITIES				burden hours per		
Form 4 o Form 5			~ · ·	< > > >	~ ·				response	0.5	
obligatio	-	•					-	e Act of 1934,			
may cont				•	•	· ·		1935 or Section	n		
See Instru	uction	30(n)	of the In	vestment	Compar	iy Ac	t of 194	0			
1(b).											
(Print or Type I	Responses)										
	•										
1. Name and A	ddress of Reporti	ing Person [*]	2. Issuer	r Name and	I Ticker or	Tradi	ng	5. Relationship of Reporting Person(s) to			
Rothenstein	Symbol				c	Issuer					
			CIENA CORP [CIEN]					(Chao)	le all annliashla		
(Last)	(First)	(Middle)	3. Date of	f Earliest Ti	ransaction			(Chec	k all applicable	;)	
			(Month/Day/Year)					Director	10% Owner		
C/O CIENA	CORPORAT	TON, 7035	02/17/2	015				XOfficer (give below)	title Other below)	er (specify	
RIDGE RD								· ·	General Couns	sel	
	(Street)		4. If Ame	ndment. Da	ate Origina	1		6. Individual or Jo	oint/Group Filir	19(Check	
				4. If Amendment, Date Original Filed(Month/Day/Year)				Applicable Line)			
				,	·			_X_ Form filed by C			
HANOVER	, MD 21076-1	426						Form filed by M Person	fore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-I	Derivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of	2. Transaction I	Date 2A. Deer	med	3.	4. Securi	ties A	cauired	5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Ye		on Date, if		on(A) or D			Securities	Form: Direct		
(Instr. 3)		any	Code (Instr. 3, 4 and 5)					Beneficially	(D) or	Beneficial	
		(Month/	Day/Year)	(Instr. 8)				Owned Following	Indirect (I) (Instr. 4)	Ownership (Instr. 4)	
								Reported	(1130. 4)	(1130. 4)	
						(A) or		Transaction(s)			
				Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	02/17/2015			S	1,500 (1)	D	\$ 20.07	263,494 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Rothenstein David M C/O CIENA CORPORATION 7035 RIDGE RD. HANOVER, MD 21076-1426			Sr. VP, General Counsel				
Signatures							
By: Erik Lichter For: David M. Rothenstein		02/	18/2015				
<u>**</u> Signature of Reporting Person			Date				
Explanation of Responses:							

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sales were effected pursuant to Rule 10b5-1 trading plan dated 1/15/2015.
- (2) Shares reported include unvested Restricted Stock Units (RSUs).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.