#### Edgar Filing: FLEMING SCOTT T - Form 3

FLEMING SCOTT T

Form 3 May 22, 2013

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement First Trust Intermediate Duration Preferred & Income FLEMING SCOTT T (Month/Day/Year) Fund [FPF] 05/22/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) STONEBRIDGE ADVISORS. (Check all applicable) LLC, 187 DANBURY ROAD (Street) 6. Individual or Joint/Group \_ 10% Owner Officer \_X\_\_ Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Officer-Investment Sub-Adviser Person WILTON, CTÂ 06897 Form filed by More than One Reporting Person

(City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Ownership (Instr. 4) Beneficially Owned Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Shares 0 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Title	Derivative	security.	

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Date Expiration Exercisable Date

Amount or Security Number of

Shares

Relationships

Direct (D) or Indirect (I)

(Instr. 5)

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

FLEMING SCOTT T

STONEBRIDGE ADVISORS, LLC 187 DANBURY ROAD WILTON, CTÂ 06897

Â

Officer-Investment Sub-Adviser

**Signatures** 

/s/ Scott T. Fleming, by W. Scott Jardine, attorney-in-fact, pursuant to a

05/22/2013

Power of Attorney

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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