

COLUMBUS MCKINNON CORP  
 Form 4  
 May 22, 2015

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2015  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Buer Gene P

2. Issuer Name and Ticker or Trading Symbol  
 COLUMBUS MCKINNON CORP  
 [CMCO]

5. Relationship of Reporting Person(s) to Issuer  
 (Check all applicable)  
 \_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
 VP Global Svs/Vertical Markets

(Last) (First) (Middle)  
 140 JOHN JAMES AUDUBON  
 PARKWAY  
 (Street)

3. Date of Earliest Transaction  
 (Month/Day/Year)  
 05/20/2015

AMHERST, NY 14228

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 \_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price                                      |
| Common Stock                    |                                      |  |                                |   | 38,385.1902   | D  |  |
| Common Stock                    | 05/20/2015                           |  | F                              |   | 340.4158<br>(1)   | D  | \$ 24.67 38,044.7744                       |
| Common Stock                    | 05/21/2015                           |  | F                              |   | 441.6077<br>(2)   | D  | \$ 24.88 37,603.1667                       |
| Common Stock                    | 05/21/2015                           |  | F                              |   | 1,820<br>(3)  | D  | \$ 24.88 35,783.1667<br>(4)                |
| Common Stock                    |                                      |  |                                |   | 1,010.8363<br>(5)   | D  |  |

Edgar Filing: COLUMBUS MCKINNON CORP - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |              |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|----------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title        | Amount or Number of Shares |
| Non-Qualified Stock Options (Right to Buy) | \$ 28.45   |                                      |  |                                |   | 05/19/2009   | 05/18/2018  | Common Stock | 432                        |
| Non-Qualified Stock Options (Right to Buy) | \$ 18.24   |                                      |  |                                |   | 05/17/2011   | 05/16/2020  | Common Stock | 1,622                      |
| Non-Qualified Stock Options (Right to Buy) | \$ 19.5  |                                      |  |                                |   | 05/23/2012   | 05/22/2021  | Common Stock | 3,230                      |
| Non-Qualified Stock Options (Right to Buy) | \$ 13.43   |                                      |  |                                |   | 05/21/2013   | 05/20/2022  | Common Stock | 8,360                      |
| Non-Qualified Stock Options (Right to Buy) | \$ 18.95   |                                      |  |                                |   | 05/20/2014   | 05/20/2023  | Common Stock | 9,299                      |
| Non-Qualified Stock Options (Right to Buy) | \$ 27.12   |                                      |  |                                |   | 05/19/2015   | 05/19/2024  | Common Stock | 8,325                      |
| Non-Qualified Stock Options (Right to Buy) | \$ 24.94   |                                      |  |                                |   | 05/18/2016   | 05/17/2025  | Common Stock | 10,444                     |

## Reporting Owners

| Reporting Owner Name / Address                                     | Relationships |           |                                |       |
|--|---------------|-----------|--------------------------------|-------|
|  | Director      | 10% Owner | Officer                        | Other |
| Buer Gene P<br>140 JOHN JAMES AUDUBON PARKWAY<br>AMHERST, NY 14228 |               |           | VP Global Svs/Vertical Markets |       |

## Signatures

Gene P. Buer 05/22/2015

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld to satisfy tax withholding obligation upon vesting 1,110.4158 of restricted stock units on 5/20/2015.
- (2) Represents shares withheld to satisfy tax withholding obligation upon vesting 1,399.6077 restricted stock units on 5/21/2015.
- (3) Represents shares withheld to satisfy tax withholding obligation upon vesting 5,808.00 restricted stock units on 5/21/2015.  
Includes 13,235.1667 Shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 862.5371 shares become fully vested and non-forfeitable on 5/23/2015; 1,400.6154 shares become fully vested and non-forfeitable on 5/21/2016; 2220.8316 shares become fully vested and non-forfeitable 50% for two years beginning 5/20/2016; 2,479.1828 shares become fully vested and non-forfeitable 33.33% for three years beginning 5/19/2016; 2,645 shares become fully vested and non-forfeitable on 5/20/2016; and the remaining 3,627 shares become fully vested and non-forfeitable 25% for four years beginning 5/18/2016, if reporting person remains an employee of issuer.
- (4) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (5) Fully exercisable, subject to IRS limitations.
- (6) Exercisable 50% per year for two years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.
- (9) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.
- (10) Exercisable 25% per year for four years beginning 5/18/2016, if reporting person remains an employee of issuer.
- (11) Exercisable 25% per year for four years beginning 5/18/2016, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.