Edgar Filing: Two Harbors Investment Corp. - Form 8-K

Two Harbors Investment Corp. Form 8-K January 02, 2013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, DC 20549

FORM 8-K

Current Report

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): January 2, 2013 (January 1, 2013)

Two Harbors Investment Corp.

(Exact name of registrant as specified in its charter)

Maryland 001-34506 27-0312904 (State or other jurisdiction (Commission (I.R.S. Employer of incorporation) File Number) Identification No.)

601 Carlson Parkway, Suite 1400 Minnetonka, MN 55305 (Address of principal executive offices) (Zip Code)

Registrant's telephone number, including area code: (612) 629-2500

Not Applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- o Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- o Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- o Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

Effective January 1, 2013, William Roth assumed the role of Chief Investment Officer of Two Harbors Investment Corp. In November 2012, the company issued a press release announcing that Steven Kuhn, Co-Chief Investment Officer, would step down from his position as Co-Chief Investment Officer effective January 1, 2013, and would continue to serve as a Partner and the Head of Fixed Income Trading at Pine River Capital Management L.P., the parent of PRCM Advisers LLC, Two Harbors' external manager.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

TWO HARBORS INVESTMENT CORP.

By: /s/ REBECCA B. SANDBERG Rebecca B. Sandberg Secretary and Deputy General Counsel

Date: January 2, 2013