

BROWN FORMAN CORP
Form 4
March 08, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Hinrichs Thomas

2. Issuer Name and Ticker or Trading Symbol
BROWN FORMAN CORP [BFA, BFB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
850 DIXIE HIGHWAY
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
03/04/2016

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
SVP, Pres. Eur, N.Asia, ANZSEA

LOUISVILLE, KY 40210

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Class B Common	03/04/2016		M		2,256	A	\$ 34.95
							3,262
Class B Common	03/04/2016		M		2,887	A	\$ 33.76
							6,149
Class B Common	03/04/2016		S		1,926	D	\$ 95
							4,223
Class B Common	03/04/2016		F		3,217	D	\$ 96.86
							(1)
Class A Common							27
							D

Edgar Filing: BROWN FORMAN CORP - Form 4

Class A Common	2.2379 ⁽²⁾	I	DRIP
Class B Common	0.4924 ⁽²⁾	I	DRIP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Stock Appreciation Right	\$ 34.95	03/04/2016		M	2,256	05/01/2009	04/30/2016	Class B Common	2,256
Stock Appreciation Right	\$ 33.76	03/04/2016		M	2,887	05/01/2010	04/30/2017	Class B Common	2,887
Stock Appreciation Right	\$ 35.51					05/01/2011	04/30/2018	Class B Common	3,030
Stock Appreciation Right	\$ 27.05					05/01/2012	04/30/2019	Class B Common	3,370
Stock Appreciation Right	\$ 38.43					05/01/2013	04/30/2020	Class B Common	2,550
Stock Appreciation Right	\$ 46.4					05/01/2014	04/30/2021	Class B Common	4,830
Stock Appreciation	\$ 58.7					05/01/2015	04/30/2022	Class B Common	5,670

Right

Stock

Appreciation \$ 72.42

Right

05/01/2016 04/30/2023

Class B
Common

4,37

Stock

Appreciation \$ 91.97

Right

05/01/2017 04/30/2024

Class B
Common

3,45

Stock

Appreciation \$ 102.25

Right

05/01/2018 04/30/2025

Class B
Common

4,37

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Hinrichs Thomas 850 DIXIE HIGHWAY LOUISVILLE, KY 40210			SVP, Pres. Eur, N.Asia, ANZSEA	

Signatures

Kelly A. Bowen, Attorney in Fact for Thomas Hinrichs	03/08/2016
<small>**Signature of Reporting Person</small>	<small>Date</small>

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The closing price of BF-B on March 3, 2016 was used to calculate the withholding obligation.
- (2) Number of shares acquired through the issuer's dividend reinvestment plan as of March 3, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.