BROWN FORMAN CORP

Form 4 June 14, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Brown Stuart R Issuer Symbol BROWN FORMAN CORP [BFA, (Check all applicable) BFB] (Last) (First) (Middle) 3. Date of Earliest Transaction _X__ Director 10% Owner _ Other (specify Officer (give title (Month/Day/Year) below) 850 DIXIE HIGHWAY 12/21/2015 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting LOUISVILLE, KY 40210 Person

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or		Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
			Code	V	Amount	(D)	Price	(Instr. 3 and 4)			
Class A Common	01/07/2016		G		265	A	\$0	156,228	D		
Class A Common	01/08/2016		G		4	A	\$ 0	156,232	D		
Class A Common	08/11/2016		G	V	51,135	A	\$ 0	414,734 (1)	D		
Class A Common	01/12/2017		G	V	602	A	\$ 0	415,336	D		
Class A Common	02/15/2017		G	V	2,300	D	\$0	413,036	D		

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Class A Common	01/07/2016	G		265	A	\$ 0	1,076	I	Child-1 account
Class A Common	01/08/2016	G		4	A	\$ 0	1,080	I	Child-1 account
Class A Common	01/12/2017	G	V	602	A	\$ 0	2,762 (2)	I	Child-1 account
Class A Common	02/15/2017	G	V	575	A	\$ 0	3,337	I	Child-1 account
Class A Common	05/24/2016	J		114,151	A	\$ 0	228,302 (3)	I	SKCJ Investments LLC
Class A Common	01/12/2017	G	V	602	A	\$ 0	602	I	Child-2 account
Class A Common	02/15/2017	G	V	575	A	\$ 0	1,177	I	Child-2 account
Class B Common	08/11/2016	G	V	72,684	A	\$ 0	207,680 (4)	D	
Class B Common	12/21/2015	G		270	A	\$ 0	3,115	I	By Spouse
Class B Common	12/24/2015	G		6	A	\$ 0	3,121	I	By Spouse
Class B Common	12/16/2016	G	V	309	A	\$ 0	6,551 <u>(5)</u>	I	By Spouse
Class B Common	12/22/2016	G	V	307	A	\$ 0	6,858	I	By Spouse
Class B Common	04/12/2016	S		150	D	\$ 95.76	9,918 (6)	I	2015 Trust
Class B Common	05/24/2016	J		27,657	A	\$ 0	55,314 (7)	I	SKCJ Investments LLC
Class A Common							40,878 (8)	I	2015 Trust
Class A Common							36,104 <u>(9)</u>	I	Hedge Street Partners LLC
Class B Common							9,024 (10)	I	Hedge Street Partners LLC

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2.	3. Transaction Date (Month/Day/Year)		4.	5. onNumber	6. Date Exerc		7. Titl		8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Tear)	any (Month/Day/Year)	Code (Instr. 8)	of			Under	rlying	Security (Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
.r. g	Director	10% Owner	Officer	Other				
Brown Stuart R								
850 DIXIE HIGHWAY	X							
LOUISVILLE, KY 40210								

Signatures

Michael E. Carr, Jr. Attorney in Fact for Stuart R.

Brown 06/14/2017

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) In August 2016, the Class A and Class B common stock of Brown-Forman Corporation split 2-1, resulting in the reporting person's acquisition of 207,367 additional shares.

Date

- (2) Reflects the acquisition of 1,080 additional shares in the August 2016 stock split.
- (3) Reflects the acquisition of 114,151 additional shares in the August 2016 stock split.
- (4) Reflects the acquisiton of 103,840 additional shares in the August 2016 stock split.
- (5) Reflects the acquisition of 3,121 additional shares in the August 2016 stock split.
- (6) Reflects the acquisition of 5,109 additional shares in the August 2016 stock split.
- (7) Reflects the acquisition of 27,657 additional shares in the August 2016 stock split.
- (8) Reflects the acquisition of 20,439 additional shares in the August 2016 stock split.
- (9) Reflects the acquisition of 18,052 additional shares in the August 2016 stock split.

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(10) Reflects the acquisition of 4,512 additional shares in the August 2016 stock split.

Remarks:

The reporting person disclaims beneficial ownership of shares held by entities set forth on this form except to the extent of his Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.