Maltezos Louis P Form 4 June 28, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

1. Name and Address of Reporting Person \* Maltezos Louis P

(Middle)

(Zip)

C/O AMERESCO, INC., 111 SPEEN STREET, SUITE 410

(Street)

(State)

(First)

2. Issuer Name and Ticker or Trading Symbol

Ameresco, Inc. [AMRC]

3. Date of Earliest Transaction (Month/Day/Year) 06/27/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify below)

**Executive Vice President** 

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

FRAMINGHAM, MA 01701

| (City)                               | (State)                              | (Zip) Tabl  | le I - Non-I                            | Derivative                            | Secu                         | rities Acqu         | ired, Disposed of  | , or Beneficiall   | y Owned   |
|--------------------------------------|--------------------------------------|---|---|---------------------------------------|------------------------------|---------------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securi<br>or(A) or D<br>(Instr. 3, | ispose<br>4 and<br>(A)<br>or | d of (D)            | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Class A<br>Common<br>Stock           | 06/27/2018                           |   | M                                       | 5,000                                 | A                            | \$ 6.06             | 7,000  | D  |   |
| Class A<br>Common<br>Stock           | 06/27/2018                           |   | S <u>(1)</u>                            | 5,000                                 | D                            | \$<br>11.797<br>(2) | 2,000  | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  |
|---|---|---|---|--|---|--|--------------------|---|--|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Stock<br>Option<br>(right to<br>purchase)           | \$ 6.06   | 06/27/2018                              |   | M                                      | 5,000   | (3)  | 07/22/2019         | Class A<br>Common<br>Stock                                    | 5,000                                  |

## **Reporting Owners**

| Reporting Owner Name / Address         | Relationships |           |           |       |  |  |  |
|--|---------------|-----------|-----------|-------|--|--|--|
|  | Director      | 10% Owner | Officer   | Other |  |  |  |
| Maltezos Louis P<br>C/O AMERESCO, INC. |               |           | Executive |       |  |  |  |
| 111 SPEEN STREET, SUITE 410            |               |           | Vice      |       |  |  |  |
| FRAMINGHAM, MA 01701                   |               |           | President |       |  |  |  |

## **Signatures**

/s/ David J. Corrsin, attorney-in-fact

\*\*Signature of Reporting Person

06/28/2018 Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on December 1, 2017.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$11.75 to \$11.90, inclusive. The reporting person undertakes to provide to Ameresco, Inc., any security holder of Ameresco, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (2) to this Form 4.
- (3) Of the shares subject to the option, 20% vest on July 22, 2010, and the remainder vest in 16 equal quarterly installments thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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