THOMPSON JEFFREY R

Form 4 May 18, 2012

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

Check this box if no longer

subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

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OMB APPROVAL

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response...

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person *

THOMPSON JEFFREY R

2. Issuer Name and Ticker or Trading Symbol

Capitol Federal Financial Inc

[CFFN]

(First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

05/16/2012

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

C/O CAPITAL FEDERAL

FINANCIAL, 700 KANSAS AVE

(State)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

below)

6. Individual or Joint/Group Filing(Check Applicable Line)

X_ Director

Officer (give title

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

TOPEKA, KS 66603

(City)

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

(Zip)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) 5. Amount of 6. Ownership 7. Nature of Securities Beneficially (D) or Owned Indirect (I) **Following** (Instr. 4)

Form: Direct Indirect Beneficial Ownership (Instr. 4)

10% Owner Other (specify

Reported (A) Transaction(s)

or (Instr. 3 and 4) Price Code V Amount (D)

CFFN

stock

05/16/2012 common

A 5,000 Α

<u>(1)</u> 45,353 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D (Month/Day)	Date Exercisable and xpiration Date Month/Day/Year)		7. Title and Amoun Underlying Securiti (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou Numb Shares	
CFFN Non-qualified Stock Option May 2012	\$ 11.91	05/16/2012		A	15,000	(2)	05/14/2027	CFFN common stock	15,0	
CFFN Non-qualified Stock Options	\$ 15.78					(3)	01/27/2020	CFFN common stock	113,1	

Reporting Owners

Reporting Owner Name / Address	Relationships					
reporting Owner Numer / Address	Director	10% Owner	Officer	Other		
THOMPSON JEFFREY R C/O CAPITAL FEDERAL FINANCIAL 700 KANSAS AVE TOPEKA, KS 66603	X					

Signatures

James D. Wempe, Power of
Attorney

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock award.
- (2) 3,750 options will vest on January 10, 2013, January 10, 2014, January 10, 2015 and January 10, 2016.
- (3) All options are currently exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2