### Edgar Filing: Willdan Group, Inc. - Form 4

Willdan Gro	up, Inc.									
Form 4										
August 14, 2	2014									
FORM	14 UNITED		SECUE	TTIES A	ND FY	Ч <b>Ы</b> А П		COMMISSION		PPROVAL
		<b>J</b> SIAIES		shington,			NGE (	201011011551011	OMB Number:	3235-0287
Check th if no long subject to Section 1 Form 4 o	<b>STATE</b> 6.	DOX					Expires: January 31 200 Estimated average burden hours per response 0.			
Form 5 obligatio may cont <i>See</i> Instru 1(b).	ns Section 17	7(a) of the 3	Public Ut		ling Com	pany	Act of	e Act of 1934, f 1935 or Sectio 40		
(Print or Type I	Responses)									
	address of Reportin pportunity Capi	-	Symbol	Name and Group, I			g	5. Relationship of Issuer	Reporting Pers	
(Last) 1000 WILS BOULEVA	<sup>(First)</sup> HIRE RD, SUITE 117	(Middle) 70	3. Date of (Month/D 08/13/20	-	ansaction			Director Officer (give below)	X 109	
	(Street)		4. If Ame	ndment, Da	te Original			6. Individual or Jo	oint/Group Filin	1g(Check
LOS ANGE	ELES, CA 9001 <sup>2</sup>	7	Filed(Mon	th/Day/Year	)			Applicable Line) Form filed by C _X_ Form filed by N Person	One Reporting Pe More than One R	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative S	Securi	ties Acq	uired, Disposed of	f, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	r) Executio any		3. Transactic Code (Instr. 8) Code V	4. Securit on(A) or Dis (Instr. 3, 4 Amount	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	
Class A						. ,	\$			see
Common Stock	08/13/2014			S	35,000	D	ф 9.53	728,598	Ι	footnotes (1) (2) (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

er

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Othe			
Wedbush Opportunity Capital, LLC 1000 WILSHIRE BOULEVARD SUITE 1170 LOS ANGELES, CA 90017		Х					
Wedbush Opportunity Partners LP 1000 WILSHIRE BLVD STE 1170 LOS ANGELES, CA 90017		Х					
Zhu Jeremy Qinli 1000 WILSHIRE BLVD. STE. 1170 LOS ANGELES, CA 90017		Х					
Signatures							

Jeremy Q. Zhu	08/14/2014
<u>**</u> Signature of Reporting Person	Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The securities are held directly by Wedbush Opportunity Partners, L.P. (the Fund) for the benefit of the Funds investors. Such securities may be deemed to be indirectly beneficially owned by Wedbush Opportunity Capital, LLC (the General Partner), as the general partner of

- (1) the Fund, and Jeremy Q. Zhu as a Managing Director of the General Partner and lead member of the General Partners investment team that manages the Funds portfolio. Mr. Zhu, the Fund and the General Partner are the Filers. Wedbush Opportunity Capital, LLC and Jeremy Zhu, Managing Director, disclaim beneficial ownership of shares owned by Wedbush Opportunity Partners, LP, except to the extent of any pecuniary interest therein.
- (2) The Filers and their affiliates report beneficial ownership in conformity with the guidelines articulated by the SEC in Release No. 34-39538 (January 12, 1998) relating to organizations such as the Filers and their affiliates, where related entities exercise voting and investment powers over securities that they hold independently from each other. The General Partners and Mr. Zhus voting and

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investment powers over the securities held by the Fund are exercised independently from all of the Filers other affiliates. The members of the Funds investment team are also compensated separately from the investment professionals of such affiliates. Further, internal policies and procedures of the Filers and their affiliates establish informational barriers that prevent the flow of information that relates to the voting and investment powers between the Filers and such affiliates.

(3) Consequently, the Filers and such affiliates report the securities over which they hold investment and voting power separately from each other for purposes of Section 13 of the Act.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.