Edgar Filing: HEATH ROBERT H - Form 4

HEATH RO Form 4	BERT H										
November 22	2, 2017										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION							OMB APPROVAL				
	UNITED	NITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								3235-0287	
Check thi if no long subject to Section 1 Form 4 o	s box er STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF 5. SECURITIES								Expires:January 31 2005Estimated average burden hours per response0.5		
Form 5 obligation may cont <i>See</i> Instru 1(b).	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section										
(Print or Type F	Responses)										
HEATH ROBERT H Symbol			Symbol	er Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Middle)		f Earliest Ti	-			(Check	k all applicable)	
	ORPORATION PLAZA, STEUA 1100		(Month/E 11/20/2	ay/Year)				Director <u>X</u> Officer (give below) EVP, Chi		Owner r (specify ïcer	
	(Street)	(Street) 4. If Amend Filed(Month			-			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
SAN FRAN	CISCO, CA 941	05						Form filed by M Person	lore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-E	Derivative S	Securi	ities Acqu	uired, Disposed of	, or Beneficial	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	Execution any		3. Transactio Code (Instr. 8)	4. Securit on(A) or Dis (Instr. 3, 4	sposed	l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock	11/20/2017			М	12,976	А	\$0	68,505	D		
Common Stock	11/20/2017			F	4,879	D	\$ 12.79	63,626	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number prof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(1)</u>	11/20/2017		М	2,500	05/20/2014 <u>(2)</u>	(2)	Common Stock	2,500
Restricted Stock Units	(1)	11/20/2017		М	3,750	05/20/2015 <u>(3)</u>	(3)	Common Stock	3,750
Restricted Stock Units	\$ 0 <u>(1)</u>	11/20/2017		М	3,750	05/20/2016 <u>(3)</u>	(3)	Common Stock	3,750
Restricted Stock Units	\$ 0 <u>(1)</u>	11/20/2017		М	2,976	05/20/2017 <u>(4)</u>	<u>(4)</u>	Common Stock	2,976

Reporting Owners

Reporting Owner Name / Address	Relationships					
1 0	Director 10% Owner	Officer	Other			
HEATH ROBERT H C/O RPX CORPORATION ONE MARKET PLAZA, STEUART TWR, STE 1100 SAN FRANCISCO, CA 94105		EVP, Chief Strategy Officer				
Signatures						
Emily Gavin, Attorney-in-Fact for Robert H. Heath	11/22/2017					
**Signature of Reporting Person	Date					
Explanation of Responses:						

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Each restricted stock unit represents a contingent right to receive one share of Issuer's common stock. The units were awarded at no cost to Reporting Person.

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6.25% of the 40,000 stock units subject to the award vest on this date and 6.25% of the shares subject to the award vest in equal quarterly(2) installments thereafter, provided that Reporting Person remains in continuous service through each vest date. The quarterly vest dates are February 20, May 20, August 20 and November 20.

6.25% of the 60,000 stock units subject to the award vest on this date and 6.25% of the shares subject to the award vest in equal quarterly(3) installments thereafter, provided that Reporting Person remains in continuous service through each vest date. The quarterly vest dates are February 20, May 20, August 20 and November 20.

6.25% of the 47,619 stock units subject to the award will vest on this date and 6.25% of the shares subject to the award will vest in equal(4) quarterly installments thereafter, provided that Reporting Person remains in continuous service through each vest date. The quarterly vest dates are February 20, May 20, August 20 and November 20.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.