Edgar Filing: Cadieux Marc C - Form 4

Cadieux Marc C Form 4								
February 01, 2018								
							OMB APPROVAL	
Washington, D.C. 20549						N OMB Number		
Section 16. Form 4 or Form 5 Filed pu	ursuant to Se 7(a) of the Pu	F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section of the Investment Company Act of 1940				F Estimate burden respons	Expires: January 31, 2005 Estimated average burden hours per response 0.5	
(Print or Type Responses)								
1. Name and Address of Reporting Cadieux Marc C	-	2. Issuer Name an Symbol SVB FINANCL		-	5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) 3005 TASMAN DRIVE	3. Date of Earliest 7 Month/Day/Year) 01/30/2018			(Check all applicable) <u>Director</u> 10% Owner Officer (give title 0ther (specify below) Chief Credit Officer				
(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
SANTA CLARA, CA 9505	4					y More than On		
(City) (State)	(Zip)	Table I - Non-	Derivative S	Securities Aco	quired, Disposed	of, or Benefi	icially Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Da any (Month/Day/	ate, if Transactio Code 'Year) (Instr. 8)		osed of (D) nd 5) A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common 01/30/2018 Stock			Amount (I $816 (\underline{1})$ D	\$	15,546	D		
Common Stock					6,144	Ι	By 401(k)/ESOP	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: Cadieux Marc C - Form 4

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Cadieux Marc C 3005 TASMAN DRIVE SANTA CLARA, CA 95054			Chief Credit Officer				
Signatures							
Denise West, Attorney-in-Fact Cadieux	for Marc		02/01/2018				
<u>**</u> Signature of Reporting Pers	son		Date				
Explanation of Responses:							

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares withheld by Issuer for payment of tax liability incurred upon vesting of performance restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.