Harrison Christopher Anthony Form 4

November 15, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

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if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

Harrison Christopher Anthony

2. Issuer Name and Ticker or Trading

Symbol

BEACON ROOFING SUPPLY INC

[BECN]

3. Date of Earliest Transaction

(Month/Day/Year)

505 HUNTMAR PARK DR, SUITE 11/13/2018

(Zip)

(Middle)

300

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

5. Relationship of Reporting Person(s) to

(Check all applicable)

EVP - Chief HR Officer

10% Owner Other (specify

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

below)

Director

Officer (give title

HERNDON, VA 20170

1. Title of 2. Transaction Date 2A. Deemed Security

(Month/Day/Year) Execution Date, if (Instr. 3)

(State)

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8)

Code V Amount (D) Price

(Instr. 3, 4 and 5) (A)

or

5. Amount of Securities Beneficially Owned Following Reported

Transaction(s)

(Instr. 3 and 4)

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I) (Instr. 4) (Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion 3. Transaction Date 3A. Deemed

(Month/Day/Year) Execution Date, if

5. Number of TransactionDerivative

6. Date Exercisable and **Expiration Date** 

7. Title and Amount of **Underlying Securities** 

#### Edgar Filing: Harrison Christopher Anthony - Form 4

| Security (Instr. 3)                    | or Exercise<br>Price of<br>Derivative<br>Security |            | any<br>(Month/Day/Year) | Code (Instr. 8) | Securitie<br>Acquire<br>or Dispo<br>(D)<br>(Instr. 3<br>and 5) | d (A)<br>osed of | (Month/Day          | (Year)             | (Instr. 3 and                           | 4)                                  |
|--|---|------------|-------------------------|-----------------|--|------------------|---------------------|--------------------|---|-------------------------------------|
|  |   |            |                         | Code V          | 7 (A)  | (D)              | Date<br>Exercisable | Expiration<br>Date | Title                                   | Amount<br>or<br>Number<br>of Shares |
| Restricted<br>Stock<br>Units<br>(RSUs) | <u>(1)</u>  | 11/13/2018 |                         | A               | 3,668  |                  | <u>(2)</u>          | (2)                | Common<br>Stock,<br>\$0.01 par<br>value | 3,668                               |
| Stock Option (right to buy)            | \$ 27.26  | 11/13/2018 |                         | A               | 11,429   | )                | (3)                 | 11/13/2028         | Common<br>Stock,<br>\$0.01 par<br>value | 11,429                              |

# **Reporting Owners**

| D (1 0 N (4 1)                 | Relationships |  |  |
|--------------------------------|---------------|--|--|
| Reporting Owner Name / Address |               |  |  |

Director 10% Owner Officer Other

Harrison Christopher Anthony 505 HUNTMAR PARK DR SUITE 300 HERNDON, VA 20170

EVP - Chief HR Officer

## **Signatures**

/s/ Christopher A.
Harrison
11/15/2018

\*\*Signature of Reporting Date
Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one (1) share of BECN common stock.
- (2) The restricted stock units are scheduled to vest on the third anniversary of the grant date.
- (3) The stock options are scheduled to vest in three (3) equal annual installments beginning on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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