

APPLIED GENETIC TECHNOLOGIES CORP

Form 8-K

March 02, 2017

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): March 2, 2017

APPLIED GENETIC TECHNOLOGIES CORPORATION

(Exact name of Registrant as Specified in Its Charter)

Delaware  
(State or Other Jurisdiction

001-36370  
(Commission File Number) (IRS Employer

of Incorporation)

Identification No.)

14193 NW 119<sup>th</sup> Terrace

Suite 10

Alachua, Florida  
(Address of Principal Executive Offices)

32615  
(Zip Code)

Registrant's Telephone Number, Including Area Code: (386) 462-2204

Not Applicable

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(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 5.07. Submission of Matters to a Vote of Security Holders.

We held our 2017 Annual Meeting of Stockholders on February 28, 2017, at which two proposals were submitted to, and approved by, our stockholders. The holders of 14,391,123 shares of common stock were present or represented by proxy at the meeting. The proposals are described in detail in our definitive proxy statement for the 2017 Annual Meeting filed with the Securities and Exchange Commission on January 13, 2017. The final results for the votes for each proposal are set forth below.

At the annual meeting, each of Susan B. Washer, Ed Hurwitz and James Rosen was elected as a Class III Director of the Company, to serve a three-year term expiring at the 2020 Annual Meeting of Stockholders. The votes cast in the election of directors were as follows:

| Nominee         | Votes For  | Votes Withheld | Broker<br>Non-Votes |
|-----------------|------------|----------------|---------------------|
| Susan B. Washer | 12,384,591 | 1,008,659      | 997,873             |
| Ed Hurwitz      | 7,792,322  | 5,600,928      | 997,873             |
| James Rosen     | 9,182,594  | 4,210,656      | 997,873             |

At the annual meeting, our stockholders also approved the proposal to ratify the appointment of RSM US LLP as our independent registered public accounting firm for our fiscal year ending on June 30, 2017. The votes cast on this proposal were as follows:

| Proposal                                                                                                                    | Votes For  | Votes Against | Abstentions | Broker<br>Non-Votes |
|-----------------------------------------------------------------------------------------------------------------------------|------------|---------------|-------------|---------------------|
| To ratify the appointment of RSM US LLP as the Company's independent registered public accounting firm for fiscal year 2017 | 14,323,808 | 66,861        | 454         | -                   |

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

APPLIED GENETIC  
TECHNOLOGIES  
CORPORATION

Date: March  
2, 2017

By: /s/ Lawrence E. Bullock  
Lawrence E. Bullock  
Chief Financial Officer