ADAMS JOHN B JR Form 4

October 29, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

**OMB APPROVAL** 

3235-0287 Number:

January 31, 2005

0.5

Estimated average burden hours per

Expires:

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * ADAMS JOHN B JR |          |          | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>UNIVERSAL CORP /VA/ [UVV] | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)                             |  |  |  |
|---|----------|----------|--|--|--|--|--|
| (Last)  | (First)  | (Middle) | 3. Date of Earliest Transaction  | (Check an approacte)   |  |  |  |
| 9201 FOREST HILL AVENUE                                   |          | NUE      | (Month/Day/Year)<br>10/25/2018   | Director 10% Owner Officer (give title   |  |  |  |
| (Street)  |          |          | 4. If Amendment, Date Original   | 6. Individual or Joint/Group Filing(Check  |  |  |  |
| RICHMOND,   | VA 23235 |          | Filed(Month/Day/Year)  | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |  |

| (City)                               | (State)                              | (Zip) Tabl  | le I - Non-l                            | Derivativ | e Secu                       | rities Acqui  | red, Disposed of,  | or Beneficiall   | y Owned   |
|--------------------------------------|--------------------------------------|---|---|-----------|------------------------------|---------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) |           | sed of<br>4 and<br>(A)<br>or | ` '           | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 10/25/2018                           |   | S                                       | 209       | D                            | \$ 68         | 13,805   | D  |   |
| Common<br>Stock                      | 10/25/2018                           |   | S                                       | 2,791     | D                            | \$<br>67.2318 | 11,014   | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Edgar Filing: ADAMS JOHN B JR - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date |                    | 4.         | 5.         | 6. Date Exer    |            | 7. Title |          | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|-----------------|------------|----------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | orNumber   | Expiration D    | ate        | Amou     | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/     | Year)      | Under    | lying    | Security    | Secur  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e               |            | Securi   | ties     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |                 |            | (Instr.  | 3 and 4) |             | Own    |
|             | Security    |                     |                    |            | Acquired   |                 |            |          |          |             | Follo  |
|             |             |                     |                    |            | (A) or     |                 |            |          |          |             | Repo   |
|             |             |                     |                    |            | Disposed   |                 |            |          |          |             | Trans  |
|             |             |                     |                    |            | of (D)     |                 |            |          |          |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |                 |            |          |          |             |        |
|             |             |                     |                    |            | 4, and 5)  |                 |            |          |          |             |        |
|             |             |                     |                    |            |            |                 |            |          |          |             |        |
|             |             |                     |                    |            |            |                 |            |          | Amount   |             |        |
|             |             |                     |                    |            |            | Date            | Expiration |          | or       |             |        |
|             |             |                     |                    |            |            | Exercisable     | Date       | Title    | Number   |             |        |
|             |             |                     |                    |            |            | Excicisable Dat | Date       |          | of       |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |                 |            |          | Shares   |             |        |

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> 10% Owner Officer Other Director

ADAMS JOHN B JR 9201 FOREST HILL AVENUE RICHMOND, VA 23235

Former Director

### **Signatures**

John B. Adams, Jr. by Preston D. Wigner, Power of Attorney

10/29/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2