

LANDY SAMUEL A
Form 4
April 03, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LANDY SAMUEL A

2. Issuer Name and Ticker or Trading Symbol
UMH PROPERTIES, INC. [UMH]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
3499 ROUTE 9 NORTH, SUITE 3C

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
04/02/2019

Director 10% Owner
 Officer (give title below) Other (specify below)
President and CEO

FREEHOLD, NJ 07728

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount or Price | | |
| UMH Properties, Inc. | 04/02/2019 | | A | | 65,600 ⁽²⁾ | A | \$ 13.9 632,337.77 D |
| UMH Properties, Inc. | 04/02/2019 | | A | | 100 ⁽¹⁾ | A | \$ 13.9 566,737.77 D |
| UMH Properties, Inc. | | | | | | | 42,926.863 I Held with Spouse |
| UMH Properties, | | | | | | | 16,220.64 I Sam Landy Family Ltd. |

| | | | | | | |
|-----------------------|--|--------|--|---|--|--|
| Inc. | | | | | | Partnership |
| UMH Properties, Inc., | | 48,000 | | I | | Co-Manager of EWL Grandchildren Fund LLC |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| UMH Properties, Inc. | \$ 13.09 | | | | | 04/02/2019 04/02/2028 | UMH Properties, Inc. | 50,000 |
| UMH Properties, Inc. | \$ 15.04 | | | | | 04/04/2018 04/04/2027 | UMH Properties, Inc. | 50,000 |
| UMH Properties, Inc. | \$ 14.25 | | | | | 01/19/2018 01/19/2027 | UMH Properties, Inc. | 50,000 |
| UMH Properties, Inc. | \$ 9.77 | | | | | 04/05/2017 04/05/2024 | UMH Properties, Inc. | 50,000 |
| UMH Properties, Inc. | \$ 9.82 | | | | | 06/24/2016 06/24/2023 | UMH Properties, Inc. | 50,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-------------------|-------|
| | Director | 10% Owner | Officer | Other |
| LANDY SAMUEL A 3499 ROUTE 9 NORTH, SUITE 3C FREEHOLD, NJ 07728 | X | | President and CEO | |

Signatures

Nelli Madden 04/03/2019

 Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock award for Directors.
- (2) Restricted Stock Issuance: Vesting Schedule 20% each year over a five year period commencing on 4/02/2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.