#### CROWELL RICHARD R

Form 4 June 19, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

5. Relationship of Reporting Person(s) to

Issuer

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

RBC Bearings INC [ROLL]

Symbol

1(b).

(Print or Type Responses)

CROWELL RICHARD R

1. Name and Address of Reporting Person \*

				RBC Bearings INC [ROLL]						(Check all applicable)			
(Last) (First) (Middle)  102 WILLENBROCK ROAD, ONE TRIBOLOGY CENTER				3. Date of Earliest Transaction (Month/Day/Year) 06/18/2018					- - b	Director 10% Owner Officer (give title Other (specify below)			
Filed(					lled(Month/Day/Year)				A	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
OXFORD, CT 06478								Person					
(C	ity)	(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								y Owned			
1.Title of Security (Instr. 3	у	2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date any (Month/Day/Year)			3. 4. Securities Acquired (A) Transactiomr Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price					5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Comm Stock		06/18/2018			M	•	500	A	\$ 51.08	40,760 <u>(1)</u> <u>(2)</u> <u>(3)</u>	D		
Comm Stock		06/18/2018			S		500	D	\$ 129.7105	40,260 <u>(1)</u> <u>(2)</u> <u>(3)</u>	D		
Comm Stock		06/18/2018			M		400	A	\$ 64.15	40,660 <u>(1)</u> <u>(2)</u> <u>(3)</u>	D		
Comm Stock		06/18/2018			S		400	D	\$ 129.7105	40,260 <u>(1)</u> <u>(2)</u> <u>(3)</u>	D		
Comm Stock		06/18/2018			M		800	A	\$ 72.83	41,060 <u>(1)</u> <u>(2)</u> <u>(3)</u>	D		

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Common Stock	06/18/2018	S	800	D	\$ 129.7105	40,260 <u>(1)</u> <u>(2)</u> <u>(3)</u>	D
Common Stock	06/18/2018	M	400	A	\$ 72.94	40,660 <u>(1)</u> <u>(2)</u> <u>(3)</u>	D
Common Stock	06/18/2018	S	400	D	\$ 129.7105	40,260 <u>(1)</u> <u>(2)</u> <u>(3)</u>	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Purchase Common Stock	\$ 51.08	06/18/2018		M	500	06/14/2014(4)	06/14/2020	Common Stock	500
Option to Purchase Common Stock	\$ 64.15	06/18/2018		M	400	07/01/2015 <u>(5)</u>	07/01/2021	Common Stock	400
Option to Purchase Common Stock	\$ 72.83	06/18/2018		M	800	07/01/2016 <u>(6)</u>	07/01/2022	Common Stock	800
Option to Purchase Common Stock	\$ 72.94	06/18/2018		M	400	07/08/2017(7)	07/08/2023	Common Stock	400
Option to Purchase	\$ 99.64					06/27/2018(8)	06/27/2024	Common Stock	2,000

Common Stock

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

CROWELL RICHARD R 102 WILLENBROCK ROAD ONE TRIBOLOGY CENTER OXFORD, CT 06478

### **Signatures**

/s/Thomas J. Williams /attorney in fact

06/19/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 1,250 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse in accordance with the following schedule 1/3 vest on 6/27/2018, 1/3 vest on 6/27/2019, 1/3 vest on 6/27/2020. Unvested shares of Restricted Stock (i) may be immediately forfeited to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events.
- 417 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse on 7/1/2018. Unvested shares of Restricted Stock
  (2) (i) may be immediately forfeited to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events.
- 833 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse in accordance with the following schedule- 1/2 vest on 7/8/2018 and 1/2 vest on 7/8/2019. Unvested shares of Restricted Stock (i) may be immediately forfeited to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its subsidiaries under certain circumstances or (ii) may lapse upon the happening of certain events.
- (4) Options to purchase shares of Common Stock vest on 6/14/2018. Options expire 7 years from grant date.
- Options to purchase shares of Common Stock are subject to the following vesting schedule- 1/2 vest on 7/1/2018 and 1/2 vest on 7/1/2019. Options expire 7 years from grant date
- Options to purchase shares of Common Stock are subject to the following vesting schedule- 1/3 vest on 7/1/2018, 1/3 vest on 7/1/2019 and 1/3 vest on 7/1/2020. Options expire 7 years from grant date.
- Options to purchase shares of Common Stock are subject to the following vesting schedule- 1/4 vest on 7/8/2018, 1/4 vest on 7/8/2019, 1/4 vest on 7/8/2020 and 1/4 vest on 7/8/2021. Options expire 7 years from grant date.
- Options to purchase shares of Common Stock are subject to the following vesting schedule- 1/5 vest on 6/27/2018, 1/5 vest on 6/27/2019, 1/5 vest on 6/27/2020, 1/5 vest on 6/27/2021 and 1/5 vest on 6/27/2022. Options expire 7 years from grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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