

Hagerman Philip R  
Form 4  
March 12, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Hagerman Philip R

(Last) (First) (Middle)

4100 S. SAGINAW STREET

(Street)

FLINT, MI 48507

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

Diplomat Pharmacy, Inc. [DPLO]

3. Date of Earliest Transaction  
(Month/Day/Year)

03/09/2018

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☒ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)

☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D) Price			
Common Stock							7,519 <sup>(1)</sup>	D	
Common Stock	03/09/2018		S		169,657	D \$ 20.65	1,330,099	I	Philip R. Hagerman Revocable Trust <sup>(2)</sup>
Common Stock	03/09/2018		S		500,000	D \$ 20.65	3,391,609	I	2007 Hagerman Family GST <sup>(3)</sup>
Common Stock	03/09/2018		S		550,000	D \$ 20.65	3,900,091	I	The JH GST Trust <sup>(2)</sup>

Edgar Filing: Hagerman Philip R - Form 4

Common Stock	03/09/2018	S	75,000	D	\$ 20.65	1,475,876	I	2013 Irrev. Exempt Trust for Daughter <u>(3)</u>
Common Stock	03/09/2018	S	75,000	D	\$ 20.65	1,475,876	I	2013 Irrev. Exempt Trust for Son <u>(3)</u>
Common Stock	03/09/2018	S	140,000	D	\$ 20.65	1,075,293	I	2013 Irrev. Exempt Trust for Daughter <u>(2)</u>
Common Stock	03/09/2018	S	60,000	D	\$ 20.65	1,311,292	I	2013 Irrev. Exempt Trust for Daughter <u>(2)</u>
Common Stock						313,337	I	2014 Irrev. Exempt Trust for Son <u>(3)</u>
Common Stock						313,337	I	2014 Irrev. Exempt Trust for Daughter <u>(3)</u>
Common Stock						313,337	I	2014 Irrev. Exempt Trust for Daughter <u>(2)</u>
Common Stock						313,337	I	2014 Irrev. Exempt Trust for Daughter <u>(2)</u>
Common Stock	03/09/2018	S	279,131	D	\$ 20.65	417,528	I	Jocelyn Hagerman Living Trust <u>(3)</u>
Common Stock	03/09/2018	S	301,212	D	\$ 20.65	0	I	Philip R. Hagerman Living Trust <u>(2)</u>
Common Stock						263,500	I	JH Marital Trust <u>(2)</u>
Common Stock						272,000	I	PH Marital Trust <u>(3)</u>
Common Stock						394,910	I	Irrevocable Trust for Son

# Edgar Filing: Hagerman Philip R - Form 4

Common Stock	394,910	I	Irrevocable Trust for Daughter
Common Stock	367,256	I	Irrevocable Non-Exempt Trust for Son
Common Stock	367,256	I	Irrevocable Non-Exempt Trust for Daughter

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Hagerman Philip R 4100 S. SAGINAW STREET FLINT, MI 48507	X	X		

## Signatures

/s/ Christina Flint, by Power of Attorney  
03/12/2018

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents restricted stock units ("RSUs") granted in connection with the Issuer's equity incentive plan.

These shares are indirectly owned by Mr. Hagerman as the trustee of the Philip R. Hagerman Revocable Trust, the Philip R. Hagerman

(2) Living Trust, The JH GST Trust, the 2013 Irrevocable Exempt Trusts and 2014 Irrevocable Exempt Trusts for two daughters, and the JH Marital Trust.

Jocelyn Hagerman is Mr. Hagerman's wife. These shares are indirectly owned by her as a trustee of the 2007 Hagerman Family GST

(3) Trust, the 2013 Irrevocable Exempt Trusts and 2014 Irrevocable Exempt Trusts for a son and daughter, the Jocelyn Hagerman Living Trust, and the PH Marital Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.