

ELECTRO SENSORS INC

Form 3

November 20, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Hochman Michael

(Last) (First) (Middle)

1100 CANTERBURY ROAD

(Street)

SHAKOPEE, MN 55379

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

11/10/2014

3. Issuer Name and Ticker or Trading Symbol
ELECTRO SENSORS INC [CPHC]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
VP of Casino Card Operations

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

7,490 ⁽¹⁾

D H

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title Amount or Number of

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security: Direct (D) or Indirect

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Employee Stock Option	04/23/2010	04/23/2019	Common Stock	750	\$ 6	D	Â
Employee Stock Option	02/25/2011	02/25/2020	Common Stock	1,500	\$ 8.28	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				Other
	Director	10% Owner	Officer		
Hochman Michael 1100 CANTERBURY ROAD SHAKOPEE, MN 55379	Â	Â	Â	VP of Casino Card Operations	Â

Signatures

Suzette McNally, Attorney-in-Fact for Michael Hochman 11/20/2014

Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Of the shares beneficially owned by Mr. Hochman 2,625 shares reflect deferred stock awards subject to future vesting. Of these shares, (1) 1,125 shares were granted on December 13, 2012, and 375 vest each year on the anniversary of the grant. In addition, 1,500 of the shares were granted on March 18, 2014, and 375 vest each year on the anniversary of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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