

Hagerman Philip R
Form 4
September 13, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Hagerman Philip R

(Last) (First) (Middle)

4100 S. SAGINAW STREET

(Street)

FLINT, MI 48507

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

Diplomat Pharmacy, Inc. [DPLO]

3. Date of Earliest Transaction
(Month/Day/Year)

09/11/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☒ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------------|---|---|---|---|--|--|---|
| Common Stock | | | | (A) or (D) | Price | | Philip R. Hagerman Revocable Trust ⁽¹⁾ |
| | | | | | | 1,330,099 I | |
| Common Stock | | | | | | 3,391,609 I | 2007 Hagerman Family Trust GST ⁽²⁾ |
| | | | | | | | |
| Common Stock | 09/11/2018 | | S | 57,500 D | \$ 19,2055 ⁽³⁾ | 3,842,591 I | The JH GST Trust ⁽¹⁾ |
| | 09/12/2018 | | S | 109,845 D | | 3,732,746 I | |

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| | | | | | | | | |
|-----------------|------------|---|--------|---|-----------------------------|-----------|---|---|
| Common Stock | | | | | \$ 19,1075 <u>(4)</u> | | | The JH GST Trust <u>(1)</u> |
| Common Stock | 09/13/2018 | S | 42,022 | D | \$ 18,7804 <u>(5)</u> | 3,690,724 | I | The JH GST Trust <u>(1)</u> |
| Common Stock | | | | | | 1,475,876 | I | 2013 Irrev. Exempt Trust for Daughter <u>(2)</u> |
| Common Stock | | | | | | 1,475,876 | I | 2013 Irrev. Exempt Trust for Son <u>(2)</u> |
| Common Stock | | | | | | 1,075,293 | I | 2013 Irrev. Exempt Trust for Daughter <u>(1)</u> |
| Common Stock | | | | | | 1,311,292 | I | 2013 Irrev. Exempt Trust for Daughter <u>(1)</u> |
| Common Stock | | | | | | 313,337 | I | 2014 Irrev. Exempt Trust for Son <u>(2)</u> |
| Common Stock | | | | | | 313,337 | I | 2014 Irrev. Exempt Trust for Daughter <u>(2)</u> |
| Common Stock | | | | | | 313,337 | I | 2014 Irrev. Exempt Trust For Daughter <u>(1)</u> |
| Common Stock | | | | | | 313,337 | I | 2014 Irrev. Exempt Trust for Daughter <u>(1)</u> |
| Common Stock | | | | | | 417,528 | I | Jocelyn Hagerman Living Trust <u>(2)</u> |
| Common Stock | | | | | | 263,500 | I | JH Marital Trust <u>(1)</u> |
| Common Stock | | | | | | 272,000 | I | PH Marital Trust <u>(2)</u> |

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| | | | |
|--------------|---------|---|---|
| Common Stock | 394,910 | I | Irrevocable Trust for Son |
| Common Stock | 394,910 | I | Irrevocable Trust for Daughter |
| Common Stock | 367,256 | I | Irrevocable Non-Exempt Trust for Son |
| Common Stock | 367,256 | I | Irrevocable Non-Exempt Trust for Daughter |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|

| | | | | | |
|------|---|------------------|-----------------|-------|----------------------------|
| | | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Code | V | (A) | (D) | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| Hagerman Philip R 4100 S. SAGINAW STREET FLINT, MI 48507 | X | X | | |

Signatures

/s/ Christina Flint, by Power of
Attorney

09/13/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) These shares are indirectly owned by Mr. Hagerman as the trustee of the Philip R. Hagerman Revocable Trust, The JH GST Trust, the 2013 Irrevocable Exempt Trusts and 2014 Irrevocable Exempt Trusts for two daughters, and the JH Marital Trust.

Jocelyn Hagerman is Mr. Hagerman's wife. These shares are indirectly owned by her as a trustee of the 2007 Hagerman Family GST Trust, the 2013 Irrevocable Exempt Trusts and 2014 Irrevocable Exempt Trusts for a son and daughter, the Jocelyn Hagerman Living Trust, and the PH Marital Trust.

(3) The price represents the weighted average price of the multiple transactions reported on this line. The shares were sold at prices ranging from \$18.72 to \$19.64. Upon request by the SEC staff, the issuer or any securityholder of the issuer, the reporting person will provide full information regarding the number of shares sold at each separate price.

(4) The price represents the weighted average price of the multiple transactions reported on this line. The shares were sold at prices ranging from \$18.76 to \$19.38. Upon request by the SEC staff, the issuer or any securityholder of the issuer, the reporting person will provide full information regarding the number of shares sold at each separate price.

(5) The price represents the weighted average price of the multiple transactions reported on this line. The shares were sold at prices ranging from \$18.61 to \$19.30. Upon request by the SEC staff, the issuer or any securityholder of the issuer, the reporting person will provide full information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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