

PROVIDENT FINANCIAL SERVICES INC
 Form 4
 March 08, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
 Expires: January 31, 2005
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
 MARTIN CHRISTOPHER P

2. Issuer Name and Ticker or Trading Symbol
 PROVIDENT FINANCIAL SERVICES INC [PFS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
 239 WASHINGTON STREET
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)
 03/04/2016

Director 10% Owner
 Officer (give title below) Other (specify below)
 Chairman, President and CEO

JERSEY CITY, NJ 07302

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|---|----------------------|
| | | | Code | V | Amount or (D) Price | | | | |
| Common Stock | 03/04/2016 | | A | | 16,847 (1) | A | \$ 0 325,722 | D | |
| Common Stock | 03/04/2016 | | F | | 7,799 | D | \$ 19.38 317,923 | D | |
| Common Stock | | | | | | | 136,534 (2) | I | By 401(k) Plan |
| Common Stock | | | | | | | 11,741 (2) | I | By ESOP |
| Common Stock | | | | | | | 17,785 | I | By Deferred Fee Plan |

Edgar Filing: PROVIDENT FINANCIAL SERVICES INC - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable Expiration Date | Title Amount or Number of Shares |
| Stock Options | \$ 16.38 | 03/04/2016 | | A | 45,762 | 03/04/2016 02/19/2024 | Common Stock 45,762 |
| Stock Options | \$ 18.34 | | | | | 02/19/2016 02/19/2025 | Common Stock 65,972 |
| Stock Options | \$ 12.54 | | | | | 01/29/2009 01/29/2018 | Common Stock 13,020 |
| Stock Options | \$ 16.38 | | | | | 02/19/2015 02/19/2024 | Common Stock 35,000 |
| Stock Options | \$ 14.88 | | | | | 02/03/2013 02/03/2022 | Common Stock 25,000 |
| Stock Options | \$ 10.4 | | | | | 02/03/2010 02/03/2019 | Common Stock 16,600 |
| Stock Options | \$ 17.94 | | | | | 01/29/2008 01/29/2017 | Common Stock 27,267 |
| Stock Options | \$ 10.34 | | | | | 03/04/2013 03/04/2023 | Common Stock 64,433 |
| Stock Options | \$ 10.4 | | | | | 02/03/2012 02/03/2019 | Common Stock 3,718 |
| Stock Options | \$ 18.7 | | | | | 02/24/2017 02/24/2026 | Common Stock 76,327 |
| Stock Options | \$ 19.1 | | | | | 03/04/2014 03/04/2024 | Common Stock 14,622 |
| Stock Options | \$ 15.23 | | | | | 02/19/2014 02/19/2023 | Common Stock 35,000 |
| | \$ 14.5 | | | | | 02/24/2012 02/24/2021 | 50,000 |

Stock
Options

Common
Stock

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-----------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| MARTIN CHRISTOPHER P 239 WASHINGTON STREET JERSEY CITY, NJ 07302 | X | | Chairman, President and CEO | |

Signatures

/s/ Leonard G. Gleason, Pursuant to Power of
Attorney

03/08/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Performance vesting stock awards granted on February 19, 2014 based on meeting certain performance criteria.
- (2) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.
- (3) Performance stock options granted on February 19, 2014 at fair market value which vested on March 4, 2016 based on meeting certain performance criteria.
- (4) Stock options vest at a rate of 33.3% per year.
- (5) Stock options have fully vested.
- (6) Stock options vest at a rate of 20% per year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.