Mateus-Tique Jaime Form 4 February 05, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL OMB** 3235-0287

Number:

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

10% Owner Other (specify

7. Nature of Indirect Beneficial Ownership (Instr. 4)

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(City)

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Mateus-Tique Jaime

2. Issuer Name and Ticker or Trading

Symbol

LIQUIDITY SERVICES INC

[LQDT]

3. Date of Earliest Transaction

(Month/Day/Year) 02/01/2018

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

C/O LIQUIDITY SERVICES, INC., 6931 ARLINGTON ROAD, **SUITE 200** 

(First)

(Middle)

(Zip)

(Street)

(State)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_\_ Director

Officer (give title

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

### BETHESDA, MD 20814

1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. Transactio	4. Securities on Acquired (A) or	5. Amount of Securities	6. Ownership
(Instr. 3)	(Month/Bay/Tear)	any	Code	Disposed of (D)	Beneficially	Form:
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)	Owned	Direct (D)
					Following	or Indirect
				( )	Reported	(I)
				(A)	Transaction(s)	(Instr. 4)
			C 1 W	or	(Instr. 3 and 4)	

Code V Amount (D) Price

Common J(1)02/01/2018 9.744 73,453

D Stock

2007 Common 468,262 Ι Stock Irrevocable Trust Common 163,208 I By the Jaime

> Mateus-Tique 2005

By the Em El

Irrevocable

1

Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Code	5. Number iorDerivative Securities Acquired Disposed (Instr. 3, 4	ve s l (A) or l of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
			Code V	' (A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Restricted Stock Grant	\$ 6.5	02/01/2018	A	14,615		(2)	02/01/2028	Common Stock	14,61
Restricted Stock Grant	\$ 9.75	02/01/2018	<u>J(1)</u>		9,744	(3)	02/01/2027	Common Stock	9,74
Employee Stock Option	\$ 6.29					<u>(4)</u>	02/01/2026	Common Stock	79,08
Employee Stock Option	\$ 21.53					(5)	02/01/2024	Common Stock	20,00
Employee Stock Option	\$ 40.11					<u>(6)</u>	02/01/2023	Common Stock	5,95
Employee Stock Option	\$ 42.31					<u>(7)</u>	02/01/2022	Common Stock	5,32
Employee Stock Option	\$ 14.3					(8)	02/01/2021	Common Stock	15,0
Employee Stock Option	\$ 11.77					<u>(9)</u>	02/01/2020	Common Stock	18,6
Employee Stock	\$ 12.02					(10)	10/01/2017	Common Stock	76,00

Option

Employee

Stock \$ 17.63

Option

(11) 09/21/2016 Common Stock

## **Reporting Owners**

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other Mateus-Tique Jaime C/O LIQUIDITY SERVICES, INC.

6931 ARLINGTON ROAD, SUITE 200 BETHESDA, MD 20814

X

## **Signatures**

/s/ Mark A. Shaffer, by power of attorney

02/05/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the vesting of restricted stock. **(1)**
- These restricted shares have a one-year vesting period such that 100% of this restricted share grant will vest on February 1, 2019. **(2)**
- These restricted shares became fully vested on February 1, 2018. **(3)**
- These options became fully vested on February 1, 2017. **(4)**
- **(5)** These options became fully vested on February 1, 2015.
- These options became fully vested on February 1, 2014. **(6)**
- **(7)** These options became fully vested on February 1, 2013.
- **(8)** These options became fully vested on February 1, 2012.
- These options became fully vested on February 1, 2011. **(9)**
- (10) These options expired on October 1, 2017 without being exercised.
- (11) These options expired on September 21, 2016 without being exercised.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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