

GLAXOSMITHKLINE PLC  
Form 6-K  
January 16, 2018

FORM 6-K

SECURITIES AND EXCHANGE COMMISSION

Washington D.C. 20549

Report of Foreign Issuer

Pursuant to Rule 13a-16 or 15d-16 of  
the Securities Exchange Act of 1934

For period ending 15 January 2018

GlaxoSmithKline plc

(Name of registrant)

980 Great West Road, Brentford, Middlesex, TW8 9GS

(Address of principal executive offices)

Indicate by check mark whether the registrant files or  
will file annual reports under cover Form 20-F or Form 40-F

Form 20-F ☒ Form 40-F ☐

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Indicate by check mark whether the registrant by furnishing the

information contained in this Form is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934.

Yes No x

GlaxoSmithKline plc

(the 'Company')

Transaction notification

1. Details of PDMR/person closely associated with them ('PCA')

a) Name Ms E Walmsley  
b) Position/status Chief Executive Officer  
c) Initial notification/ amendment Initial notification

Details of the issuer, emission allowance market

2. participant, auction platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc  
b) LEI 5493000HZTVUYLO1D793

3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

a) Description of the financial instrument Ordinary shares of 25 pence each ('Ordinary Shares')  
ISIN: GB0009252882

b) Nature of the transaction Acquisition of Ordinary Shares following the re-investment of dividends paid to shareholders on 11 January 2018.

c) Price(s) and volume(s) Price(s) Volume(s)  
£13.3440 473.274  
n/a (single transaction)

Aggregated information

d) Aggregated volume Price

e) Date of the transaction 2018-01-11

f) Place of the transaction

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London Stock Exchange  
(XLON)

1. Details of PDMR/person closely associated with them ('PCA')
  - a) Name Mr R G Connor
  - b) Position/status President, Global Manufacturing & Supply
  - c) Initial notification/ amendment Initial notification
2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor
  - a) Name GlaxoSmithKline plc
  - b) LEI 5493000HZTVUYLO1D793
3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted
  - a) Description of the financial instrument Ordinary shares of 25 pence each ('Ordinary Shares')  
ISIN: GB0009252882
  - b) Nature of the transaction Acquisition of Ordinary Shares following the re-investment of dividends paid to shareholders on 11 January 2018.
  - c) Price(s) and volume(s)
 

|          |           |
|----------|-----------|
| Price(s) | Volume(s) |
| £13.3440 | 82.400    |
  - d) Aggregated information n/a (single transaction)
  - e) Aggregated volume Price
  - f) Date of the transaction 2018-01-11
  - Place of the transaction London Stock Exchange (XLON)

1. Details of PDMR/person closely associated with them ('PCA')
  - a) Name Mr L Debruyne
  - b) Position/status President, Global Vaccines
  - c) Initial notification/ amendment Initial notification
2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor
  - a) Name GlaxoSmithKline plc
  - b) LEI 5493000HZTVUYLO1D793
3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted
  - a) Description of the financial instrument Ordinary shares of 25 pence each ('Ordinary Shares')  
ISIN: GB0009252882

|                              |                                                                                                                              |
|------------------------------|------------------------------------------------------------------------------------------------------------------------------|
|                              | Acquisition of Ordinary<br>Shares following the<br>re-investment of dividends<br>paid to shareholders on 11<br>January 2018. |
| b) Nature of the transaction |                                                                                                                              |
| c) Price(s) and volume(s)    | Price(s)      Volume(s)<br>£13.3440      851.563<br>n/a (single transaction)                                                 |
| Aggregated information       |                                                                                                                              |
| d) Aggregated volume Price   |                                                                                                                              |
| e) Date of the transaction   | 2018-01-11                                                                                                                   |
| f) Place of the transaction  | London Stock Exchange<br>(XLON)                                                                                              |

1. Details of PDMR/person closely associated with them ('PCA')
  - a) Name      Mr S Dingemans
  - b) Position/status      Chief Financial Officer
  - c) Initial notification/ amendment      Initial notification
2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor
  - a) Name      GlaxoSmithKline plc
  - b) LEI      5493000HZTVUYLO1D793
3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted
 

Ordinary shares of 25 pence each

  - a) Description of the financial instrument      ('Ordinary Shares')  
ISIN: GB0009252882

|                              |                                                                                                                              |
|------------------------------|------------------------------------------------------------------------------------------------------------------------------|
|                              | Acquisition of Ordinary<br>Shares following the<br>re-investment of dividends<br>paid to shareholders on 11<br>January 2018. |
| b) Nature of the transaction |                                                                                                                              |
| c) Price(s) and volume(s)    | Price(s)      Volume(s)<br>£13.3440      919.518<br>n/a (single transaction)                                                 |
| Aggregated information       |                                                                                                                              |
| d) Aggregated volume Price   |                                                                                                                              |
| e) Date of the transaction   | 2018-01-11                                                                                                                   |

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- f) Place of the transaction London Stock Exchange  
(XLON)
1. Details of PDMR/person closely associated with them ('PCA')
- a) Name Mr D S Redfern
- b) Position/status Chief Strategy Officer
- c) Initial notification/ amendment Initial notification
2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor
- a) Name GlaxoSmithKline plc
- b) LEI 5493000HZTVUYLO1D793
- Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted
- Ordinary shares of 25 pence each ('Ordinary Shares')
- a) Description of the financial instrument ISIN: GB0009252882
- b) Nature of the transaction Acquisition of Ordinary Shares following the re-investment of dividends paid to shareholders on 11 January 2018.
- c) Price(s) and volume(s)
- | Price(s)                 | Volume(s) |
|--------------------------|-----------|
| £13.3440                 | 1552.174  |
| n/a (single transaction) |           |
- d) Aggregated information
- Aggregated volume Price
- e) Date of the transaction 2018-01-11
- f) Place of the transaction London Stock Exchange  
(XLON)
1. Details of PDMR/person closely associated with them ('PCA')
- a) Name Ms C Thomas
- b) Position/status SVP, HR
- c) Initial notification/ amendment Initial notification
2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor
- a) Name GlaxoSmithKline plc
- b) LEI 5493000HZTVUYLO1D793
- Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted
- a) Description of the financial instrument Ordinary shares of 25 pence each ('Ordinary Shares')

ISIN: GB0009252882

|                              |                                                                                                                                                                                    |          |           |          |         |                          |  |
|------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|-----------|----------|---------|--------------------------|--|
| b) Nature of the transaction | Acquisition of Ordinary Shares following the re-investment of dividends paid to shareholders on 11 January 2018.                                                                   |          |           |          |         |                          |  |
| c) Price(s) and volume(s)    | <table border="0"> <tr> <td>Price(s)</td> <td>Volume(s)</td> </tr> <tr> <td>£13.3440</td> <td>599.748</td> </tr> <tr> <td colspan="2">n/a (single transaction)</td> </tr> </table> | Price(s) | Volume(s) | £13.3440 | 599.748 | n/a (single transaction) |  |
| Price(s)                     | Volume(s)                                                                                                                                                                          |          |           |          |         |                          |  |
| £13.3440                     | 599.748                                                                                                                                                                            |          |           |          |         |                          |  |
| n/a (single transaction)     |                                                                                                                                                                                    |          |           |          |         |                          |  |

d) Aggregated information  
Aggregated volume Price

|                             |                              |
|-----------------------------|------------------------------|
| e) Date of the transaction  | 2018-01-11                   |
| f) Place of the transaction | London Stock Exchange (XLON) |

1. Details of PDMR/person closely associated with them ('PCA')
  - a) Name Mr P C Thomson
  - b) Position/status President, Global Affairs
  - c) Initial notification/ amendment Initial notification
2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor
  - a) Name GlaxoSmithKline plc
  - b) LEI 5493000HZTVUYLO1D793
3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted
 

|                                            |                                                                            |
|--------------------------------------------|----------------------------------------------------------------------------|
| a) Description of the financial instrument | Ordinary shares of 25 pence each ('Ordinary Shares')<br>ISIN: GB0009252882 |
|--------------------------------------------|----------------------------------------------------------------------------|

|                              |                                                                                                                                                                                    |          |           |          |         |                          |  |
|------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|-----------|----------|---------|--------------------------|--|
| b) Nature of the transaction | Acquisition of Ordinary Shares following the re-investment of dividends paid to shareholders on 11 January 2018.                                                                   |          |           |          |         |                          |  |
| c) Price(s) and volume(s)    | <table border="0"> <tr> <td>Price(s)</td> <td>Volume(s)</td> </tr> <tr> <td>£13.3440</td> <td>728.198</td> </tr> <tr> <td colspan="2">n/a (single transaction)</td> </tr> </table> | Price(s) | Volume(s) | £13.3440 | 728.198 | n/a (single transaction) |  |
| Price(s)                     | Volume(s)                                                                                                                                                                          |          |           |          |         |                          |  |
| £13.3440                     | 728.198                                                                                                                                                                            |          |           |          |         |                          |  |
| n/a (single transaction)     |                                                                                                                                                                                    |          |           |          |         |                          |  |

d) Aggregated information  
Aggregated volume Price

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e) Date of the transaction 2018-01-11  
 f) Place of the transaction London Stock Exchange  
 (XLON)

1. Details of PDMR/person closely associated with them ('PCA')

a) Name Dr P J T Vallance  
 b) Position/status President, R&D  
 c) Initial notification/ amendment Initial notification

2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc  
 b) LEI 5493000HZTVUYLO1D793

3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

Ordinary shares of 25 pence each ('Ordinary Shares')  
 a) Description of the financial instrument ISIN: GB0009252882

b) Nature of the transaction Acquisition of Ordinary Shares following the re-investment of dividends paid to shareholders on 11 January 2018.

c) Price(s) and volume(s)  
 Price(s) Volume(s)  
 £13.3440 2918.217  
 n/a (single transaction)

Aggregated information  
 d) Aggregated volume Price

e) Date of the transaction 2018-01-11  
 f) Place of the transaction London Stock Exchange  
 (XLON)

1. Details of PDMR/person closely associated with them ('PCA')

a) Name Mrs K Thomson  
 b) Position/status PCA of Mr P C Thomson  
 (President, Global Affairs)  
 c) Initial notification/ amendment Initial notification

2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc  
 b) LEI 5493000HZTVUYLO1D793

3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

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| a) Description of the financial instrument | Ordinary shares of 25 pence each ('Ordinary Shares')<br>ISIN: GB0009252882                                                                                                                |          |           |          |         |                          |  |
|--------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|-----------|----------|---------|--------------------------|--|
| b) Nature of the transaction               | Acquisition of Ordinary Shares following the re-investment of dividends paid to shareholders on 11 January 2018.                                                                          |          |           |          |         |                          |  |
| c) Price(s) and volume(s)                  | <table><thead><tr><th>Price(s)</th><th>Volume(s)</th></tr></thead><tbody><tr><td>£13.3440</td><td>137.540</td></tr><tr><td colspan="2">n/a (single transaction)</td></tr></tbody></table> | Price(s) | Volume(s) | £13.3440 | 137.540 | n/a (single transaction) |  |
| Price(s)                                   | Volume(s)                                                                                                                                                                                 |          |           |          |         |                          |  |
| £13.3440                                   | 137.540                                                                                                                                                                                   |          |           |          |         |                          |  |
| n/a (single transaction)                   |                                                                                                                                                                                           |          |           |          |         |                          |  |
| d) Aggregated information                  |                                                                                                                                                                                           |          |           |          |         |                          |  |
| Aggregated volume                          | Price                                                                                                                                                                                     |          |           |          |         |                          |  |
| e) Date of the transaction                 | 2018-01-11                                                                                                                                                                                |          |           |          |         |                          |  |
| f) Place of the transaction                | London Stock Exchange (XLON)                                                                                                                                                              |          |           |          |         |                          |  |

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorised.

GlaxoSmithKline plc  
(Registrant)

Date: January 15, 2018

By: VICTORIA WHYTE

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Victoria Whyte  
Authorised Signatory for and on  
behalf of GlaxoSmithKline plc

