

LAMPRON A JOSEPH  
Form 5  
February 09, 2018

# FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
LAMPRON A JOSEPH

2. Issuer Name and Ticker or Trading Symbol  
PEOPLES BANCORP OF NORTH CAROLINA INC [PEBK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
12/31/2017

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below) EVP and CFO  
\_\_\_\_ 10% Owner  
\_\_\_\_ Other (specify below)

518 WEST C STREET

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

NEWTON, NC 28658

(City) (State) (Zip)

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D) Amount Price			
common stock	01/31/2017	Â	L	62 A \$ 26.5	14,353.347	D	Â
common stock	03/01/2017	Â	L	19 A \$ 27.75	14,372.347	D	Â
common stock	04/27/2017	Â	L	40 A \$ 30.1362	14,412.347	D	Â
common stock	05/01/2017	Â	L	15 A \$ 28.9599	14,427.347	D	Â
	05/30/2017	Â	L	16 A	14,443.347	D	Â

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common stock						\$ 27.4999			
common stock	07/27/2017	Â	L	45	A	\$ 38.5978	14,488.347	D	Â
common stock	07/28/2017	Â	L	12	A	\$ 32.5099	14,500.347	D	Â
common stock	09/01/2017	Â	L	22	A	\$ 30.4999	14,522.347	D	Â
common stock	10/26/2017	Â	L	44	A	\$ 33.9621	14,566.347	D	Â
common stock	11/02/2017	Â	L	28	A	\$ 33.9333	14,594.347	D	Â
common stock	12/15/2017	Â	J <sup>(1)</sup>	778.1281	A	\$ 0	15,372.4751	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. of D Se B O E Is Fi (I
					(A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares		

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LAMPRON A JOSEPH 518 WEST C STREET NEWTON,Â NCÂ 28658	Â	Â	Â EVP and CFO	Â

## Signatures

/s/ A. Joseph  
Lampron, Jr.

02/09/2018

\_\_Signature of Reporting  
Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) shares acquired through 10% stock dividend

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