

SMITH DARLA M
Form 4
November 03, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
SMITH DARLA M

2. Issuer Name **and** Ticker or Trading
Symbol
ADVANCED MICRO DEVICES
INC [AMD]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

ONE AMD PLACE

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
11/01/2017

____ Director ____ 10% Owner
____ Officer (give title ____X____ Other (specify
below) below)
Chief Accounting Officer

SUNNYVALE, CA 94085

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/01/2017		M	(A) or (D) Amount 6,250 (1)	\$ 8.6 31,236	D	
Common Stock	11/01/2017		M	(A) or (D) Amount 5,000 (1)	\$ 7.5 36,236	D	
Common Stock	11/01/2017		M	(A) or (D) Amount 4,650 (1)	\$ 4.08 40,886	D	
Common Stock	11/01/2017		S	(A) or (D) Amount 11,250 (2)	\$ 11.11 29,636 (3)	D	
Common Stock	11/01/2017		S	(A) or (D) Amount 4,107 (2)	\$ 11.13 25,529	D	

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(4)

\$

11
(4)

Common Stock	11/01/2017	S	11,931 (2)	D	\$ (1)	11.14	13,598	D
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Fair Value of Derivative Security (Instr. 3)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 8.6	11/01/2017		M	6,250	<u>(5)</u> 02/15/2018	Common Stock	6,250
Stock Option	\$ 7.5	11/01/2017		M	5,000	<u>(6)</u> 06/15/2018	Common Stock	5,000
Stock Option	\$ 4.08	11/01/2017		M	4,650	<u>(7)</u> 08/12/2021	Common Stock	4,650

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SMITH DARLA M ONE AMD PLACE SUNNYVALE, CA 94085				Chief Accounting Officer

Signatures

/s/ Darla M. Smith 11/03/2017

****Signature of
Reporting Person**

Date _____

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The stock option exercises reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on November 28, 2016.

(2) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on November 28, 2016.

(3) Transaction executed in multiple trades at prices ranging from \$11.02 to \$11.27 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.

(4) Transaction executed in multiple trades at prices ranging from \$11.02 to \$11.28 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.

(5) The options vested 33 1/3% on May 15, 2011 and then 8 1/3% per quarter over the next eight following quarters.

(6) This option vested 33 1/3% on May 15, 2012 and then 8 1/3% per quarter over the next eight following quarters.

(7) The options vested 33 1/3% on August 9, 2015 and then 8 1/3% per quarter over the next eight following quarters.

Remarks:

EXHIBIT LIST: EX-24 POA

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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