

Edgar Filing: TRIARC COMPANIES INC - Form 4

TRIARC COMPANIES INC

Form 4

January 07, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 WASHINGTON, D.C. 20549
 FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check this box if no longer subject to Section 16.
 Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person
 Peltz, Nelson
 c/o Triarc Companies, Inc.
 280 Park Avenue, 41st Floor
 New York, NY 10017
 USA
2. Issuer Name and Ticker or Trading Symbol
 Triarc Companies, Inc.
 TRY
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Year
 December 31, 2001
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)
 (X) Director (X) 10% Owner (X) Officer (give title below) () Other
 (specify below)
 Chairman and Chief Executive Officer
7. Individual or Joint/Group Filing (Check Applicable Line)
 (X) Form filed by One Reporting Person
 () Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Code | 4. Securities Acquired (A) or Disposed of (D) Amount | 5. Amount of Securities Beneficially Owned at End of Month Price |
|----------------------|---------------------|---------|---|---|
| Class A Common Stock | 12/04/01 | P(1) | 50 (A) | \$22.95 |
| Class A Common Stock | 12/04/01 | G(1) | 50 (D) | 23,750 (2) |
| | | | | 15,982,867 (4) |
| | | | | 26,900 |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exercise Date | 3. Transaction | 4. Derivative Securities Acquired (A) or Disposed of (D) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) | 6. Date Exercisable and Expiration Date (Month/Day) | 7. Title and Amount of Underlying Securities | 8. Percentage of Total Underlying Securities |
|---------------------------------|--------------------------------|----------------|--|--|---|--|--|
|---------------------------------|--------------------------------|----------------|--|--|---|--|--|

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| | Price of | | | posed of (D) | Day/Year) | | | | Title and Number | |
|------------------------|----------|-------|------|--------------|-----------|-------|-------|-------|------------------|---------|
| | Deriva- | | | | Date | Expir | | | of Shares | |
| | tive | | | | A/ | Exer- | ation | | | |
| | Secu- | | | | D | cisa- | Date | | | |
| | rity | Date | Code | V | Amount | ble | | | | |
| Employee Stock Options | \$24.60 | 12/6/ | A | V | 250,000 | A | (5) | 12/6/ | Class A Comm | 250,000 |
| (right to buy) with t | | 01 | | | | | | 11 | on Stock | |
| andem tax withholding | | | | | | | | | | |
| rights | | | | | | | | | | |
| ----- | | | | | | | | | | |

Explanation of Responses:

- (1) Used to make bona fide gift to an unrelated person.
- (2) All such shares are held in the Peltz Family Limited Partnership, an entity in which Mr. Peltz is a General Partner.
- (3) The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.
- (4) All such shares are owned by DWG Acquisition Group, L.P., an entity in which Mr. Peltz is a General Partner.
- (5) The option vests in three equal installments on December 6, 2002, 2003 and 2004.

SIGNATURE OF REPORTING PERSON

Nelson Peltz

DATE

January 7, 2002