

EATON VANCE CORP
Form 8-K
July 23, 2009

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): July 14, 2009

EATON VANCE CORP.

(Exact name of registrant as specified in its charter)

Maryland

(State or other jurisdiction
of incorporation)

1-8100

(Commission File Number)

04-2718215

(IRS Employer Identification No.)

255 State Street, Boston, Massachusetts

(Address of principal executive offices)

02109

(Zip Code)

Registrant's telephone number, including area code (617) 482-8260

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

INFORMATION INCLUDED IN THE REPORT

Item 7.01 Regulation FD Disclosure

Registrant reported their preliminary assets under management, as described in Registrant's news release dated July 14, 2009, a copy of which is filed herewith as Exhibit 99.1 and incorporated herein by reference.

Item 9.01 Financial Statements and Exhibits

| <u>Exhibit No.</u> | <u>Document</u> |
|--------------------|---|
| 99.1 | Press Release issued by the Registrant dated July 14, 2009 reporting the preliminary assets under management. |

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this Report to be signed on its behalf by the undersigned hereunto duly authorized.

EATON VANCE CORP.

(Registrant)

Date: July 23, 2009

/s/ Robert J. Whelan

Robert J. Whelan, Chief Financial Officer

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EXHIBIT INDEX

Each exhibit is listed in this index according to the number assigned to it in the exhibit table set forth in Item 601 of Regulation S-K. The following exhibit is filed as part of this Report:

| <u>Exhibit No.</u> | <u>Description</u> |
|--------------------|---|
| 99.1 | Copy of Registrant's news release dated July 14,2009 reporting the preliminary assets under management. |

Exhibit 99.1

Contact:

Robert Whelan - 617.672.8260
rwhelan@eatonvance.com

EATON VANCE CORP. REPORTS JUNE 30, 2009
ASSETS UNDER MANAGEMENT

Boston, MA, July 14, 2009 -- Eaton Vance Corp. (NYSE: EV) today reported assets under management of \$136.3 billion on June 30, 2009. This compares to \$127.2 billion on April 30, 2009, the close of the Company's second fiscal quarter.

| | Assets Under Management | |
|----------------------------------|--------------------------------|-------------------------|
| | (in billions) | |
| | <u>4/30/2009</u> | <u>6/30/2009</u> |
| By client type: | | |
| Funds | \$ 82.9 | \$ 89.1 |
| Retail managed accounts | 16.5 | 17.8 |
| Institutional separate accounts | 18.6 | 20.1 |
| High-net-worth separate accounts | 9.2 | 9.3 |
| | <hr/> | <hr/> |
| Total | \$ 127.2 | \$ 136.3 |
| | <hr/> | <hr/> |
| By asset category: | | |
| Equity ⁽¹⁾ | \$ 76.9 | \$ 82.1 |
| Fixed ⁽²⁾ | 35.6 | 38.0 |
| Floating-rate | 14.7 | 16.2 |
| | <hr/> | <hr/> |
| Total | \$ 127.2 | \$ 136.3 |
| | <hr/> | <hr/> |

(1) Includes balanced accounts.

(2) Includes cash management assets of \$1.6 billion on 6/30/2009
and \$0.8 billion on 4/30/2009.

Eaton Vance Corp. regularly reports assets under management on a fiscal quarter-end basis (January, April, July and October). The Company also reports assets under management on a calendar quarter basis in order to assist industry consultants who advise institutional clients on investment manager selection.

Eaton Vance Corp. is one of the oldest investment management firms in the United States, with a history dating back to 1924. Eaton Vance and its affiliates offer individuals and institutions a broad array of investment products and wealth management solutions. The Company's long record of providing exemplary

service and attractive returns through a variety of market conditions has made Eaton Vance the investment manager of choice for many of today's most discerning investors. For more information about Eaton Vance, visit www.eatonvance.com.