### Edgar Filing: GENERAL AMERICAN INVESTORS CO INC - Form 5

#### GENERAL AMERICAN INVESTORS CO INC

Form 5

Stock

January 10, 2014

**OMB APPROVAL** FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer Grassi Craig Anthony Symbol **GENERAL AMERICAN** (Check all applicable) INVESTORS CO INC [GAm] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) Director 10% Owner Other (specify \_ Officer (give title (Month/Day/Year) below) below) 12/31/2013 Assistant Vice-President **GENERAL AMERICAN** INVESTORS COMPANY, INC., 100 PARK AVENUE -35TH FLOOR (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) NEW YORK, NYÂ 10017 \_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction (A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) Owned at Direct (D) Ownership end of or Indirect (Instr. 4) Issuer's Fiscal Year (Instr. 4) (A) (Instr. 3 and 4) Amount (D) Price By Thirift Common 12/31/2013 Â  $J_{-}^{(1)}$ \$ (1) Plan Trust 2,556 21,834 Ι A Stock (2) Â J(3)Common 12/30/2013 2 \$ I 32 By Α

34.475

Custodian

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									for Nephew (4)
5.95% Perferred Stock	Â	Â	Â	Â	Â	Â	250	I	By Custodian for Son (5)
Reminder: Re securities bene	Persons v contained the form o	SEC 2270 (9-02)							

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
4	Director	10% Owner	Officer	Other			
Grassi Craig Anthony GENERAL AMERICAN INVESTORS COMPANY, INC. 100 PARK AVENUE - 35TH FLOOR NEW YORK, NY 10017	Â	Â	Assistant Vice-President	Â			
Cianaturas							

### **Signatures**

/s/ Craig Anthony
Grassi

\*\*Signature of Reporting
Person

O1/10/2014

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The securities reported herein were aquired on a periodic basis in open market transactions at the prevailing market prices pursuant to the Issuer's Employees' Trust Plan.

Reporting Owners 2

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- (2) By Issuer's Employees' Trust Plan. The undersigned disclaims any beneficial interest in theses shares.
- (3) Shares received in payment of dividends.
- (4) By Custodial Account for nephew, Joseph David Grassi, under the Pennsylvania Uniform Transfers to Minors Act.
- (5) By Custodial Account for son, Michael Leon Grassi, under the New Jersey Uniform Transfers to Minor Act.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.