

PERKINS BRUCE D
Form 3
October 24, 2012

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

PERKINS BRUCE D

(Last) (First) (Middle)

HUMANA INC., 500 WEST
MAIN STREET

(Street)

LOUISVILLE, KY 40202

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

10/18/2012

3. Issuer Name and Ticker or Trading Symbol
HUMANA INC [HUM]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed (Month/Day/Year)

(Check all applicable)

Director 10% Owner

X Officer Other

(give title below) (specify below)

Sgmnt Pres, Health&Well-Being

6. Individual or Joint/Group

Filing (Check Applicable Line)

X Form filed by One Reporting

Person

Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Humana Common

17,301

D

Humana Common

265

I

See Footnote (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Options ⁽²⁾	Â ⁽³⁾	06/20/2019	Humana Common	5,411	\$ 79.655	D	Â
Restricted Stock Units ⁽⁴⁾	Â ⁽⁷⁾	Â ⁽⁷⁾	Humana Common	5,927	\$ 0.1667 ⁽⁶⁾	D	Â
Restricted Stock Units ⁽⁴⁾	Â ⁽⁸⁾	Â ⁽⁸⁾	Humana Common	7,355	\$ 0.1667 ⁽⁶⁾	D	Â
Restricted Stock Units ⁽⁵⁾	Â ⁽⁹⁾	Â ⁽⁹⁾	Humana Common	6,768	\$ 0 ⁽⁶⁾	D	Â
Restricted Stock Units ⁽⁵⁾	Â ⁽¹⁰⁾	Â ⁽¹⁰⁾	Humana Common	1,883	\$ 0 ⁽⁶⁾	D	Â
Phantom Stock Units	Â ⁽¹¹⁾	Â ⁽¹¹⁾	Humana Common	356	\$ ⁽¹¹⁾	I	See Footnote ⁽¹¹⁾

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
PERKINS BRUCE D HUMANA INC. 500 WEST MAIN STREET LOUISVILLE, KY 40202	Â	Â	Â Sgmnt Pres, Health&Well-Being	Â

Signatures

Bruce D. Perkins 10/24/2012

^{**}Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Stock units held for the benefit of reporting person as of September 30, 2012 under the Humana Retirement & Savings Plan, including a
- (1) routine disposition of shares to fund an administrative fee assessment under a Tax-Conditioned Plan. All transactions are exempt under Rule 16b-3(c).
 - (2) Right to buy pursuant to the Humana's 2003 Stock Incentive Plan.
 - (3) Non-Qualified stock options granted to reporting person on 6/20/12, vesting in three increments from 6/20/13 to 6/20/15.
 - (4) Right to receive one share per restricted stock unit pursuant to the Company's 2003 Stock Incentive Plan.
 - (5) Right to receive one share per restricted stock unit pursuant to the Company's 2011 Stock Incentive Plan.
 - (6) Each restricted stock unit represents a contingent right to receive one share of Humana Inc. common stock, exempt under Rule 16b-3(d)(1) & (3).
 - (7) Restricted stock units granted to reporting person on 2/18/10, 100% of the award is vesting on 2/18/13.

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- (8) Restricted stock units granted to reporting person on 2/17/11, 100% of the award is vesting on 2/17/14.
- (9) Restricted stock units granted to reporting person on 2/23/12, 100% of the award is vesting on 2/23/15.
- (10) Restricted stock units granted to reporting person on 6/20/12, 100% of the award is vesting on 6/20/15.
- (11) Phantom Stock Units held for the benefit of reporting person as of September 30, 2012 based on the value of Humana common stock on a 1-for-1 basis, under the Humana Excess Plan. All transactions are exempt under Rule 16b-3(c and d).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.