**KOSS CORP** Form 4 May 19, 2017

#### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

**OMB APPROVAL** 

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if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* KOSS MICHAEL J

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(First)

(Middle)

KOSS CORP [KOSS]

(Check all applicable)

4129 NORTH PORT

(Zin)

3. Date of Earliest Transaction (Month/Day/Year)

Director X\_ Officer (give title

\_X\_\_ 10% Owner \_ Other (specify

05/17/2017

below)

President and CEO

WASHINGTON AVENUE

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

Person

860,907

D

(Street)

MILWAUKEE, WI 53212

(State)

05/17/2017

(City)	(State)	(Zip) Tab	le I - Non-	Derivativ	e Secu	rities Acqu	iired, Disposed o	f, or Beneficia	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transacti Code (Instr. 8)	4. Secur or(A) or D (Instr. 3.	oispose , 4 and (A) or	ed of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock							159,122	I	Trust for Children
Common Stock							857,949	I	By self as co-trustee of Nancy Koss Trust
Common Stock							150,451	I	ESOP
Common Stock	05/17/2017		P	200	A	\$ 1.639	859,907 <u>(1)</u>	D	

1.000

Α

P

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Common Stock					\$ 1.6383		
Common Stock	05/17/2017	P	1,600	A	\$ 1.68	862,507	D
Common Stock	05/17/2017	P	300	A	\$ 1.689	862,807	D
Common Stock	05/17/2017	P	1,100	A	\$ 1.69	863,907	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.		5.	6. Date Exerc	cisable and	7. Tit	le and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transa	ction	Number	Expiration D	ate	Amou	unt of	Derivative
Security	or Exercise		any	Code		of	(Month/Day/	Year)	Unde	rlying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr.	8)	Derivative	•		Secur	rities	(Instr. 5)
	Derivative					Securities			(Instr	. 3 and 4)	
	Security					Acquired					
						(A) or					
						Disposed					
						of (D)					
						(Instr. 3,					
						4, and 5)					
										Amount	
							Date	Expiration	m	or	
							Exercisable	Date	Title	Number	
										of	
				Code	V	(A) (D)				Shares	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
KOSS MICHAEL J 4129 NORTH PORT WASHINGTON AVENUE MILWAUKEE, WI 53212		X	President and CEO				

## **Signatures**

Michael J. Koss	05/19/2017		
**Signature of Reporting Person	Date		

2 Reporting Owners

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### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 75,461 shares previously held indirectly by 401(k) were rolled into a direct ownership self-directed individual retirement account (IRA). Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.