

MARSH & MCLENNAN COMPANIES INC
Form 8-K
October 29, 2003

SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(d) OF THE
SECURITIES EXCHANGE ACT OF 1934

October 28, 2003

(Date of earliest event reported)

Marsh & McLennan Companies, Inc.

(Exact name of registrant as specified in its charter)

Delaware

1-5998

36-2668272

(State or other jurisdiction
of incorporation)

(Commission File Number)

(IRS Employer
Identification No.)

1166 Avenue of the Americas, New York, NY

10036

(Address of principal executive offices)

(Zip Code)

(212) 345-5000

(Registrant's telephone number, including area code)

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Item 5. Other Events and Required FD Disclosure

On October 28, 2003, the Securities Exchange Commission and the Commonwealth of Massachusetts initiated administrative proceedings against Putnam Investments and two employees in connection with alleged market timing activities. Attached as exhibits 99.1, 99.2 and 99.3 are copies of the complaints.

Item 7. Financial Statements and Exhibits.

(a) None.

(b) None.

(c) Exhibits

- | | |
|------|---|
| 99.1 | Administrative Proceeding (File No 3-11317)
instituted by the SEC |
| 99.2 | Civil Action (No. 03-12082-EFH) (D. Mass.)
SEC v. Justin M. Scott and Omid Kamshad
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| 99.3 | Administrative Complaint (Docket No. E-2003-061)
instituted by the Commonwealth of Massachusetts |

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

MARSH & MCLENNAN COMPANIES, INC.

By: /s/ Leon J. Lichter

Name: Leon J. Lichter
Title: Vice President &
Corporate Secretary

Date: October 29, 2003

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Exhibit Index

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