

NATIONAL FUEL GAS CO
 Form 3
 April 08, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL
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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|---|--|---|--|--|---|
| 1. Name and Address of Reporting Person * MCGINNIS JOHN P (Last) (First) (Middle) 1201 LOUISIANA STREET, SUITE 2600 (Street) HOUSTON, TX 77002 (City) (State) (Zip) | 2. Date of Event Requiring Statement (Month/Day/Year) 04/01/2016 | 3. Issuer Name and Ticker or Trading Symbol NATIONAL FUEL GAS CO [NFG] | 4. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) COO - Seneca Resources | 5. If Amendment, Date Original Filed(Month/Day/Year) | 6. Individual or Joint/Group Filing(Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person |
|---|--|---|--|--|---|

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 27,535 | D | ^ |
| Common Stock | 5,873 ⁽¹⁾ | I | 401(k) Trust |
| Common Stock | 12,949 | I | By limited liability company ⁽²⁾ |
| Common Stock | 695 | I | By reporting person and spouse as trustees for child |
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|--|--------------------|--|----------------------------------|--|--|--|
| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | |
| Stock Appreciation Right | 02/20/2009 | 02/20/2018 | Common Stock | 4,166 | \$ 47.37 | D | Â |
| Stock Appreciation Right | Â <u>(3)</u> | 03/11/2020 | Common Stock | 20,000 | \$ 52.1 | D | Â |
| Stock Appreciation Right | Â <u>(4)</u> | 12/20/2020 | Common Stock | 7,500 | \$ 63.865 | D | Â |
| Restricted Stock Units | 12/19/2016 | 12/19/2016 | Common Stock | 4,000 | \$ <u>(5)</u> | D | Â |
| Stock Appreciation Right | Â <u>(6)</u> | 12/19/2022 | Common Stock | 20,802 | \$ 53.045 | D | Â |
| Restricted Stock Units | 12/19/2016 | 12/19/2016 | Common Stock | 775 | \$ <u>(5)</u> | D | Â |
| Restricted Stock Units | Â <u>(7)</u> | Â <u>(7)</u> | Common Stock | 1,727 | \$ <u>(5)</u> | D | Â |
| Restricted Stock Units | Â <u>(8)</u> | Â <u>(8)</u> | Common Stock | 7,619 | \$ <u>(5)</u> | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|--------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| MCGINNIS JOHN P 1201 LOUISIANA STREET SUITE 2600 HOUSTON, TX 77002 | Â | Â | Â COO - Seneca Resources | Â |

Signatures

James P.
Baetzhold

04/08/2016

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- The NFG stock fund under the NFG 401(k) plan is denominated in units, representing ownership interests in a fund that includes both NFG common stock and a reserve of cash. The information reported represents the dollar value of the reporting person's balance in the NFG stock fund as of April 1, 2016, as reported by the plan administrator, divided by the closing price of NFG common stock on that date.
- (1) The reporting person and his spouse are the sole members of the limited liability company.
 - (2) The stock appreciation right became exercisable as follows: 6,666 on November 24, 2010; 6,667 on November 23, 2011; and 6,667 on November 21, 2012.
 - (3) The stock appreciation right became exercisable in three equal annual installments beginning December 20, 2011.
 - (4) Each restricted stock unit represents a contingent right to receive one share of NFG common stock.
 - (5) The stock appreciation right became exercisable in three equal annual installments beginning December 19, 2013.
 - (6) The restricted stock units vest as follows: 863 on December 19, 2016 and 864 on December 19, 2017
 - (7) The restricted stock units vest as follows: 2,539 on December 17, 2016; 2,540 on December 17, 2017; and 2,540 on December 17, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.