EDWARDS A G INC Form 8-K March 15, 2004 UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT
Pursuant to Section 13 or 15(d) of the
Securities Exchange Act of 1934

Date of report (Date of earliest event reported): March 12, 2004

A.G. EDWARDS, INC. (Exact Name of Registrant as Specified in Charter)

Delaware (State or Other Jurisdiction of Incorporation)

001-08527 (Commission File Number)

43-1288229 (IRS Employer Identification Number)

One North Jefferson Avenue, St. Louis Missouri

(Address of Principal Executive Offices)

63103 (Zip Code)

Registrant's telephone number, including area code: (314) 955-3000

Item 5. Other Events

Filed herewith is an announcement of A.G. Edwards discussions with the Georgia Secretary of State's Securities and Business Regulation Division concerning a consent order involving the firm's Augusta, Georgia office, as contained in a press release dated March 12, 2004.

Item 7. Financial Statements, Pro Forma Financial Information and Exhibits.

(c) Exhibits

99 (i) Augusta Georgia's press release dated March 12, 2004.

Signature

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, hereunto duly authorized.

A.G. Edwards, Inc.

Registrant

Date: March 15, 2004 By: /s/Douglas L. Kelly

Douglas L. Kelly

Chief Financial Officer

Exhibit Index

Exhibit No. Description

99(i) Augusta, Georgia's press release dated March 12, 2004.

> 13G Page 6 of 15 Pages

- 1 NAMES OF REPORTING PERSONS/I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY)
 - Cisco Systems International Holdings Ltd. (CSIHL)
- 2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP
 - (a) " (b) x
- 3 SEC USE ONLY
- 4 CITIZENSHIP OR PLACE OF ORGANIZATION

Bermuda

NUMBER OF 5 SOLE VOTING POWER

SHARES

BENEFICIALLY (

0

6 SHARED VOTING POWER

OWNED BY

REPORTING		3,827,716 (2)*
PERSON	7	SOLE DISPOSITIVE POWER

WITH

EACH

0

8 SHARED DISPOSITIVE POWER

3,827,716 (2)*

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

3,827,716 (2)*

- 10 CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES "
- 11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

7.35%**

12 TYPE OF REPORTING PERSON

CO

- (2) Represents shares of the Issuer s Class A Ordinary Shares shared with the other Reporting Persons, solely by virtue of the fact that CSIBDM, the record holder of these shares, is a direct wholly owned subsidiary of CSIMI and an indirect wholly owned subsidiary of each other Reporting Person. Each of the Reporting Persons other than CSIBDM disclaims beneficial ownership of the shares pursuant to Rule 13d-4.
- * Represents Class A Ordinary Shares of the Issuer.
- ** Based on 52,089,813 of the Issuer s Class A Ordinary Shares outstanding as of December 31, 2011, as reported by the Issuer to the Reporting Persons.

CUSIP No. 02322P101 13G Page 7 of 15 Pages

1 NAMES OF REPORTING PERSONS/I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY)

Cisco Systems Global Holdings Ltd. (CSGHL)

- 2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP
 - (a) " (b) x
- 3 SEC USE ONLY
- 4 CITIZENSHIP OR PLACE OF ORGANIZATION

Bermuda

5 SOLE VOTING POWER

NUMBER OF

SHARES 6

6 SHARED VOTING POWER

BENEFICIALLY

OWNED BY

3,827,716 (2)*

EACH 7 SOLE DISPOSITIVE POWER

REPORTING

PERSON

8 SHARED DISPOSITIVE POWER

WITH

3,827,716 (2)*

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

- 10 CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES $\,\,^{\circ}$
- 11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

7.35%**

12 TYPE OF REPORTING PERSON

CO

- (2) Represents shares of the Issuer s Class A Ordinary Shares shared with the other Reporting Persons, solely by virtue of the fact that CSIBDM, the record holder of these shares, is a direct wholly owned subsidiary of CSIMI and an indirect wholly owned subsidiary of each other Reporting Person. Each of the Reporting Persons other than CSIBDM disclaims beneficial ownership of the shares pursuant to Rule 13d-4.
- * Represents Class A Ordinary Shares of the Issuer.
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CUSIP No. 02322P101 13G Page 8 of 15 Pages

1 NAMES OF REPORTING PERSONS/I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY)

Cisco Worldwide Holdings Ltd. (CWHL)

- 2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP
 - (a) " (b) x
- 3 SEC USE ONLY
- 4 CITIZENSHIP OR PLACE OF ORGANIZATION

Bermuda

5 SOLE VOTING POWER

NUMBER OF

(

SHARES 6 SHARED VOTING POWER

BENEFICIALLY

OWNED BY

3,827,716 (2)*

EACH 7 SOLE DISPOSITIVE POWER

REPORTING

PERSON 0

8 SHARED DISPOSITIVE POWER

WITH

3,827,716 (2)*

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

- 10 CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES $\,\,^{\circ}$
- 11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

7.35%**

12 TYPE OF REPORTING PERSON

 \mathbf{CO}

- (2) Represents shares of the Issuer s Class A Ordinary Shares shared with the other Reporting Persons, solely by virtue of the fact that CSIBDM, the record holder of these shares, is a direct wholly owned subsidiary of CSIMI and an indirect wholly owned subsidiary of each other Reporting Person. Each of the Reporting Persons other than CSIBDM disclaims beneficial ownership of the shares pursuant to Rule 13d-4.
- * Represents Class A Ordinary Shares of the Issuer.
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CUSIP No. 02322P101 13G Page 9 of 15 Pages

1 NAMES OF REPORTING PERSONS/I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY)

Cisco Technology, Inc. (CTI)

- I.R.S. Identification No. 77-0462351
- 2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP
 - (a) " (b) x
- 3 SEC USE ONLY
- 4 CITIZENSHIP OR PLACE OF ORGANIZATION

State of California

5 SOLE VOTING POWER

NUMBER OF

0

SHARES 6 SHARED VOTING POWER

BENEFICIALLY

OWNED BY **3,827,716** (2)*

7 SOLE DISPOSITIVE POWER

REPORTING

PERSON 0

8 SHARED DISPOSITIVE POWER

WITH

3,827,716 (2)*

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

- 10 $\,$ CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES $\,$ "
- 11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

7.35%**

12 TYPE OF REPORTING PERSON

 \mathbf{CO}

- (2) Represents shares of the Issuer s Class A Ordinary Shares shared with the other Reporting Persons, solely by virtue of the fact that CSIBDM, the record holder of these shares, is a direct wholly owned subsidiary of CSIMI and an indirect wholly owned subsidiary of each other Reporting Person. Each of the Reporting Persons other than CSIBDM disclaims beneficial ownership of the shares pursuant to Rule 13d-4.
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CUSIP No. 02322P101 13G Pages 10 of 15 Pages

1 NAMES OF REPORTING PERSONS/I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY)

Cisco Systems, Inc. (Cisco)

- I.R.S. Identification No. 77-0059951
- 2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP
 - (a) " (b) x
- 3 SEC USE ONLY
- 4 CITIZENSHIP OR PLACE OF ORGANIZATION

State of California

5 SOLE VOTING POWER

NUMBER OF

0

SHARES 6 SHARED VOTING POWER

BENEFICIALLY

OWNED BY **3,827,716** (2)*

7 SOLE DISPOSITIVE POWER

REPORTING

PERSON 0

8 SHARED DISPOSITIVE POWER

WITH

3,827,716 (2)*

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

- 10 $\,$ CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES $\,$ "
- 11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

7.35%**

12 TYPE OF REPORTING PERSON

 \mathbf{CO}

- (2) Represents shares of the Issuer s Class A Ordinary Shares shared with the other Reporting Persons, solely by virtue of the fact that CSIBDM, the record holder of these shares, is a direct wholly owned subsidiary of CSIMI and an indirect wholly owned subsidiary of each other Reporting Person. Each of the Reporting Persons other than CSIBDM disclaims beneficial ownership of the shares pursuant to Rule 13d-4.
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CUSIP No. 02322P101 13G Page 11 of 15 Pages

Item 1(a) Name of Issuer:

Ambow Education Holding Ltd.

Item 1(b) Address of Issuer s Principal Executive Offices:

18th Floor, Building A, Chengjian Plaza, No.18, BeiTaiPingZhuang Road, Haidian District, Beijing 100088, People s Republic of China

Item 2(a) Name of Person Filing:

- (i) CSI BD (Mauritius) (*CSIBDM*);
- (ii) CSI Mauritius Inc. (*CSIMI*);
- (iii) Cisco Systems Management B.V. (*CSMBV*);
- (iv) Cisco Systems (Bermuda) Ltd (*CSBL*);
- (v) Cisco Systems International Holdings Ltd. (CSIHL);
- (vi) Cisco Systems Global Holdings Ltd. (CSGHL);
- (vii) Cisco Worldwide Holdings Ltd. (CWHL);
- (viii) Cisco Technology, Inc. (CTI);
- (ix) Cisco Systems, Inc. (Cisco).

Each of the entities (i) through (ix) above is a Reporting Person and collectively, the Reporting Persons.

Item 2(b) Address of Principal Business Office or, If None, Residence

- (i) C/o DTOS Ltd., 10th Floor, Raffles Tower, 19, Cybercity, Ebene, Mauritius
- (ii) C/o DTOS Ltd., 10th Floor, Raffles Tower, 19, Cybercity, Ebene, Mauritius
- (iii) Haarlerbergpark, Haarlerbergweg 13-19, 1101 CH, Amsterdam, Netherlands
- (iv) Avenue des Uttins 5, Rolle, Vaud, 1180 Switzerland
- (v) Avenue des Uttins 5, Rolle, Vaud, 1180 Switzerland
- (vi) Avenue des Uttins 5, Rolle, Vaud, 1180 Switzerland
- (vii) Avenue des Uttins 5, Rolle, Vaud, 1180 Switzerland
- (viii) 170 West Tasman Drive, San Jose, California 95134
- (ix) 170 West Tasman Drive, San Jose, California 95134

Item 2(c) <u>Citizenship</u>:

- (i) Mauritius
- (ii) Mauritius
- (iii) Netherlands
- (iv) Bermuda

- (v) Bermuda
- (vi) Bermuda

CUSIP No. 02322P101 13G Page 12 of 15 Pages

- (vii) Bermuda
- (viii) State of California
- (ix) State of California

Item 2(d) Title of Class of Securities:

Class A Ordinary Shares, \$0.0001 par value per share

Item 2(e) CUSIP Number:

02322P101

Item 3. If this statement is filed pursuant to 240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:

- (a) "Broker or dealer registered under section 15 of the Act (15 U.S.C. 780)
- (b) "Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c).
- (c) "Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c).
- (d) "Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C 80a-8).
- (e) "An investment adviser in accordance with 240.13d-1(b)(1)(ii)(E);
- (f) "An employee benefit plan or endowment fund in accordance with 240.13d-1(b)(1)(ii)(F);
- (g) "A parent holding company or control person in accordance with 240.13d-1(b)(1)(ii)(G);
- (h) "A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
- (i) "A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
- (j) "A non-U.S. institution in accordance with §240.13d 1(b)(1)(ii)(J);
- (k) " Group, in accordance with 240.13d-1(b)(1)(ii)(K). If filing as a non-U.S. institution in accordance with \$240.13d-1(b)(1)(ii)(J), please specify the type of institution:

Not applicable.

CUSIP No. 02322P101	13G	Page 13 of 15 Pages

Item 4. Ownership

- (a) Amount Beneficially Owned: 3,827,716 shares*
- (b) Percent of Class: 7.35%**
- (c) Number of shares as to which the person has:
 - (i) Sole power to vote or direct the vote: 0.
 - (ii) Shared power to vote or direct the vote: 3,827,716 shares.¹*
 - (iii) Sole power to dispose or to direct the disposition of: 0.
 - (iv) Shared power to dispose or to direct the disposition of: 3,827,716 shares.^{1*}

Item 5. Ownership of Five Percent or Less of a Class

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner or more than five percent of the class of securities, check the following box:

Item 6. Ownership of More Than Five Percent on Behalf of Another Person

Not applicable.

Item 7. Identification and Classification of Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company or Control Person

Not applicable.

Item 8. <u>Identification and Classification of Members of the Group</u>

Not applicable.

Item 9. Notice of Dissolution of Group

Not applicable.

Item 10. Certifications

Not applicable.

Shared with the other Reporting Persons solely by virtue of the fact that CSIBDM is a direct wholly owned subsidiary of CSIMI and an indirect wholly owned subsidiary of each other Reporting Person.

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CUSIP No. 02322P101 13G Page 14 of 15 Pages

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: February 13, 2012 CSI BD (MAURITIUS)

By: /s/ Evan Sloves Name: Evan Sloves Title: Director

Dated: February 13, 2012 CSI MAURITIUS INC.

By: /s/ Evan Sloves Name: Evan Sloves Title: Director

Dated: February 13, 2012 CISCO SYSTEMS MANAGEMENT B.V.

By: /s/ Evan Sloves Name: Evan Sloves Title: Director

Dated: February 13, 2012 CISCO SYSTEMS (BERMUDA) LTD

By: /s/ Petra Lindner Name: Petra Lindner Title: Director

By: /s/ Jean-Marc Servat Name: Jean-Marc Servat

Title: Director

Dated: February 13, 2012 CISCO SYSTEMS INTERNATIONAL HOLDINGS LTD.

By: /s/ Petra Lindner
Name: Petra Lindner
Title: Director

By: /s/ Jean-Marc Servat Name: Jean-Marc Servat

Title: Director

Dated: February 13, 2012 CISCO SYSTEMS GLOBAL HOLDINGS LTD.

By: /s/ Petra Lindner Name: Petra Lindner Title: Director

By: /s/ Jean-Marc Servat Name: Jean-Marc Servat

Title: Director

CUSIP No. 02322P101 13G Page 15 of 15 Pages

Dated: February 13, 2012 CISCO WORLDWIDE HOLDINGS LTD.

By: /s/ Petra Lindner Name: Petra Lindner Title: Director

By: /s/ Jean-Marc Servat Name: Jean-Marc Servat

Title: Director

Dated: February 13, 2012 CISCO TECHNOLOGY, INC.

By: /s/ Evan Sloves Name: Evan Sloves Title: President

Dated: February 13, 2012 CISCO SYSTEMS, INC.

By: /s/ Prat Bhatt Name: Prat Bhatt

Title: Vice President, Corporate Controller and Principal

Accounting Officer

EXHIBIT INDEX

Exhibit Title

A Joint Filing Agreement dated February 11, 2011 among the reporting persons (incorporated by reference to Exhibit A of

Schedule 13G (File No. 005-85611) filed February 11, 2011).