

ROBO JAMES L
Form 4
February 19, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
ROBO JAMES L

(Last) (First) (Middle)

C/O NEXTERA ENERGY,
INC., 700 UNIVERSE BLVD.

(Street)

JUNO BEACH, FL 33408

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

NEXTERA ENERGY INC [NEE]

3. Date of Earliest Transaction
(Month/Day/Year)

02/14/2019

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☒ Other (specify
below)
Chairman, President & CEO / Director of Sub

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/14/2019		A ⁽¹⁾	V 1,856 A \$ 0	196,288 ⁽²⁾	D	
Common Stock	02/14/2019		A ⁽³⁾	106,800 A \$ 0	303,088 ⁽²⁾ ⁽⁴⁾	D	
Common Stock	02/15/2019		F ⁽⁵⁾	3,284 D \$ 184.04	299,804 ⁽²⁾ ⁽⁴⁾	D	
Common Stock	02/19/2019		G ⁽⁶⁾	V 13,336 D \$ 0	286,468 ⁽²⁾ ⁽⁴⁾	D	
Common Stock	02/19/2019		G ⁽⁶⁾	V 13,336 A \$ 0	20,000	I	By Spouse

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Common Stock	107,632	I	James L. Robo Gifting Trust
Common Stock	73,550	I	Spouse's Gifting Trust
Common Stock	31,292	I	2018 Spouse's Gifting Trust
Common Stock	72,494 ⁽⁷⁾	I	By Rabbi Trust
Common Stock	4,504	I	By Retirement Savings Plan Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Phantom Shares	(8)	02/14/2019		A		2,276		(8)	(8)	Common Stock	(8)
Employee Stock Option (Right to Buy)	\$ 182.61	02/14/2019		A		141,250		(9)	02/14/2029	Common Stock	141,250

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ROBO JAMES L C/O NEXTERA ENERGY, INC. 700 UNIVERSE BLVD. JUNO BEACH, FL 33408	X		Chairman, President & CEO	Director of Sub

Signatures

W. Scott Seeley
(Attorney-in-Fact) 02/19/2019

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock grant made pursuant to Issuer's 2011 Long Term Incentive Plan, exempt under Rule 16b-3.
- Includes a total of 46,825 shares deferred pursuant to the terms of a deferred stock grant under Issuer's Amended and Restated 2011 Long
- (2) Term Incentive Plan (the "Deferred Shares Grant"). Under the terms of the Deferred Shares Grant, shares are distributable in stock at the end of the deferral period.
- (3) Reporting person elected to defer receipt of these performance shares awarded pursuant to the Issuer's Amended and Restated Long Term Incentive Plan, exempt under Rule 16b-3.
- (4) Includes a total of 106,800 shares deferred until reporting person's termination of employment with the Issuer and its subsidiaries.
- (5) Restricted stock withheld by Issuer to satisfy tax withholding obligations on vesting of restricted stock granted February 12, 2016, February 17, 2017 and February 15, 2018.
- (6) Gift of shares to reporting person's spouse.
- Deferred shares held by Trustee of grantor trust in which reporting person has a pecuniary interest only. Includes an aggregate of 445
- (7) shares acquired by the Trustee pursuant to a dividend reinvestment feature of the deferred shares grant since the last report filed by the reporting person.
- Annual credit of phantom shares to an unfunded Supplemental Matching Contribution Account ("SMCA") for the reporting person pursuant to the NextEra Energy, Inc. Supplemental Executive Retirement Plan ("SERP") in an amount approved on the transaction date by the Issuer's Compensation Committee, which amount is determined by dividing an amount equal to (a) certain matching contributions
- (8) in excess of the limits of the Issuer's Retirement Savings Plan plus (b) theoretical earnings, by the closing price of the Issuer's common stock on the last business day of the relevant year (\$173.82 in 2018). The value of the SMCA is payable in cash following the reporting person's termination of employment with the Issuer and its subsidiaries.
- (9) Options to buy 47,084 shares become exercisable on 02/15/2020 and options to buy 47,083 shares become exercisable on each of 02/15/2021 and 02/15/2022.

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