

GOTTWALD WILLIAM M

Form 4

April 02, 2019

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**GOTTWALD WILLIAM M**

(Last) (First) (Middle)

**WESTHAM PARTNERS, 9030  
 STONY POINT PARKWAY**

(Street)

**RICHMOND, VA 23235**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading Symbol  
**TREDEGAR CORP [TG]**

3. Date of Earliest Transaction  
 (Month/Day/Year)  
**03/29/2019**

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed Execution Date, if any<br>(Month/Day/Year) | 3. Transaction Code<br>(Instr. 8) | 4. Securities Acquired (A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned<br>Following Reported Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I)<br>(Instr. 4) | 7. Nature of Ownership<br>(Instr. 4) |
|------------------------------------|-----------------------------------------|-------------------------------------------------------|-----------------------------------|----------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|-------------------------------------------------------------|--------------------------------------|
|                                    |                                         |                                                       |                                   | (A)<br>or<br>(D)                                                     |                                                                                                     |                                                             |                                      |
| Code                               | V                                       | Amount                                                |                                   | Price                                                                |                                                                                                     |                                                             |                                      |
| Tredegar Common Stock              |                                         |                                                       |                                   |                                                                      | 6,197                                                                                               | I                                                           | Footnote (1)                         |
| Tredegar Common Stock              |                                         |                                                       |                                   |                                                                      | 1,953                                                                                               | I                                                           | Footnote (2)                         |
| Tredegar Common Stock              |                                         |                                                       |                                   |                                                                      | 28,684                                                                                              | I                                                           | Footnote (3)                         |
| Tredegar Common Stock              |                                         |                                                       |                                   |                                                                      | 4,935                                                                                               | I                                                           | Footnote (4)                         |

Stock

|                             |            |   |       |   |             |         |   |                 |
|-----------------------------|------------|---|-------|---|-------------|---------|---|-----------------|
| Tredegar<br>Common<br>Stock |            |   |       |   |             | 845,538 | I | Footnote<br>(5) |
| Tredegar<br>Common<br>Stock |            |   |       |   |             | 142,365 | I | Footnote<br>(6) |
| Tredegar<br>Common<br>Stock | 03/29/2019 | A | 1,378 | A | \$<br>16.14 | 54,017  | I | Footnote<br>(7) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Own<br>Follo<br>Repo<br>Trans<br>(Instr |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V (A) (D)                                                                                                          | Date<br>Exercisable                                            | Expiration<br>Date                                                        | Title                                               | Amount<br>or<br>Number<br>of<br>Shares                                     |

## Reporting Owners

| Reporting Owner Name / Address                                                           | Relationships |           |         |       |
|------------------------------------------------------------------------------------------|---------------|-----------|---------|-------|
|                                                                                          | Director      | 10% Owner | Officer | Other |
| GOTTWALD WILLIAM M<br>WESTHAM PARTNERS<br>9030 STONY POINT PARKWAY<br>RICHMOND, VA 23235 | X             |           |         |       |

## Signatures

Patricia A. Thomas,  
Attorney-In-Fact

04/02/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Owned by wife. (Reporting person disclaims beneficial ownership.)
- (2) Held by me as trustee for Sally's Crummey Trust, U/A dated 1-3-89. (Reporting person disclaims beneficial ownership.)
- (3) Held by me as trustee FBO Margaret Addison Gottwald, U/A dated 3-9-92. (Reporting person disclaims beneficial ownership.)
- (4) Held as co-trustee for Sarah Wren Gottwald, U/A dated 3-1-83. (Reporting person disclaims beneficial ownership.)
- (5) Held as co-trustee FBO (among others) reporting person's family u/w Floyd D. Gottwald.
- (6) Held by John D. Gottwald and James T. Gottwald, trustees of the William M. Gottwald Trust, U/A dated 8-16-90. (Reporting person disclaims beneficial ownership.)
- (7) Held by me as trustee of The William Michael Gottwald Revocable Trust dated 2/5/2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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