

Edgar Filing: FIRST FINANCIAL FUND INC - Form NSAR-A

FIRST FINANCIAL FUND INC

Form NSAR-A

November 28, 2007

PAGE 1
000 A000000 09/30/2007
000 C000000 0000790202
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 FIRST FINANCIAL FUND, INC.
001 B000000 811-4605
001 C000000 3034445483
002 A000000 2344 SPRUCE STREET, SUITE A
002 B000000 BOULDER
002 C000000 CO
002 D010000 80302
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 WELLINGTON MANAGEMENT COMPANY, LLP
008 B000001 A
008 C000001 801-15908
008 D010001 BOSTON
008 D020001 MA
008 D030001 02109
010 A000001 FUND ADMINISTRATIVE SERVICES, LLC
010 B000001 84-1282398
010 C010001 BOULDER
010 C020001 CO
010 C030001 80302
010 A000002 STATE STREET BANK & TRUST COMPANY
010 B000002 85-11329
010 C010002 BOSTON
010 C020002 MA
010 C030002 02116
012 A000001 COMPUTERSHARE TRUST COMPANY, NA
012 B000001 85-11340
012 C010001 CANTON
PAGE 2
012 C020001 MA
012 C030001 02021
013 A000001 DELOITTE & TOUCHE LLP
013 B010001 DENVER
013 B020001 CO
013 B030001 80202

Edgar Filing: FIRST FINANCIAL FUND INC - Form NSAR-A

015 A000001 STATE STREET BANK & TRUST COMPANY
015 B000001 C
015 C010001 BOSTON
015 C020001 MA
015 C030001 02116
015 E010001 X
015 A000002 CITIBANK
015 B000002 S
015 C010002 BRUSSELS
015 D010002 BELGIUM
015 E040002 X
015 A000003 ROYAL BANK OF CANADA
015 B000003 S
015 C010003 TORONTO
015 D010003 CANADA
015 E040003 X
015 A000004 CREDIT SUISSE
015 B000004 S
015 C010004 ZURICH
015 D010004 SWITZERLAND
015 E040004 X
015 A000005 BNP PARIBAS SECURITIES SERVICES
015 B000005 S
015 C010005 FRANKFURT
015 D010005 GERMANY
015 E040005 X
015 A000006 EUROCLEAR
015 B000006 S
015 C010006 LUXEMBOURG
015 D010006 LUXEMBOURG
015 E040006 X
015 A000007 BNP PARIBAS SECURITIES SERVICES
015 B000007 S
015 C010007 PARIS
015 D010007 FRANCE
015 E040007 X
015 A000008 HSBC BANK PLC
015 B000008 S
015 C010008 LONDON
015 D010008 UNITED KINGDOM
015 E040008 X
015 A000009 STANDARD CHARTERED BANK
015 B000009 S
015 C010009 KWUN TONG
015 D010009 HONG KONG
PAGE 3
015 E040009 X
015 A000010 STANDARD CHARTERED BANK
015 B000010 S
015 C010010 TOKYO
015 D010010 JAPAN
015 E040010 X
015 A000011 HSBC
015 B000011 S
015 C010011 ISTANBUL
015 D010011 TURKEY
015 E040011 X
015 A000012 BNP PARIBAS SECURITIES SERVICES
015 B000012 S
015 C010012 AMSTERDAM
015 D010012 NETHERLANDS
015 E040012 X

Edgar Filing: FIRST FINANCIAL FUND INC - Form NSAR-A

015 A000013 SEB
015 B000013 S
015 C010013 STOCKHOLM
015 D010013 SWEEDEN
015 E040013 X
015 A000014 CITIBANK BRAZIL
015 B000014 S
015 C010014 SAO PAULO
015 D010014 BRAZIL
015 E040014 X
015 A000015 NATIONAL AUSTRALIA BANK
015 B000015 S
015 C010015 SIDNEY
015 D010015 AUSTRALIA
015 E040015 X
015 A000016 SKANDINAVISKA ENSILKA BANK
015 B000016 S
015 C010016 COPENHAGEN
015 D010016 DENMARK
015 E040016 X
015 A000017 BNP PARIBAS
015 B000017 S
015 C010017 MADRID
015 D010017 SPAIN
015 E040017 X
018 000000 Y
019 A000000 N
019 B000000 0
020 A000001 FREIDMAN, BILLINGS, RAMSEY GROUP, INC.
020 B000001 52-1630477
020 C000001 42
020 A000002 GOLDMAN SACHS & CO.
020 B000002 13-5108880
020 C000002 38
020 A000003 MORGAN STANLEY & CO., INC.
PAGE 4
020 B000003 13-2655998
020 C000003 30
020 A000004 KEEFE, BRUYETTE & WOODS, INC.
020 B000004 13-1964616
020 C000004 29
020 A000005 SANDLER O'NEIL & PARTNERS, L.P.
020 B000005 13-3481277
020 C000005 27
020 A000006 UBS SECURITIES
020 B000006 13-3873456
020 C000006 25
020 A000007 STERNE, AGEE & LEACH, INC.
020 B000007 63-0463600
020 C000007 23
020 A000008 MERRILL LYNCH & CO., INC.
020 B000008 13-2761776
020 C000008 20
020 A000009 LEHMAN BROTHERS INC.
020 B000009 13-2518466
020 C000009 15
020 A000010 KINGHT CAPITAL MARKETS
020 B000010 52-2105134
020 C000010 11
021 000000 350
022 A000001 DEUTSCHE BANK
022 B000001 04-2447211

Edgar Filing: FIRST FINANCIAL FUND INC - Form NSAR-A

022 C000001 1397500
 022 D000001 0
 022 A000002 MERRILL LYNCH & CO., INC.
 022 B000002 13-2761776
 022 C000002 935240
 022 D000002 0
 022 A000003 CREDIT SUISSE GROUP
 022 B000003 13-5659485
 022 C000003 150321
 022 D000003 2301
 022 A000004 LEHMAN BROTHERS INC.
 022 B000004 13-2518466
 022 C000004 120116
 022 D000004 26
 022 A000005 FREIDMAN, BILLINGS, RAMSEY GROUP, INC.
 022 B000005 52-1630477
 022 C000005 14207
 022 D000005 0
 022 A000006 UBS AG
 022 B000006 13-3340045
 022 C000006 14121
 022 D000006 1033
 022 A000007 MORGAN STANLEY & CO., INC.
 022 B000007 13-2655998
 022 C000007 7283

PAGE 5

022 D000007 858
 022 A000008 STATE STREET CORPORATION
 022 B000008 04-3464336
 022 C000008 4867
 022 D000008 1373
 022 A000009 CITIGROUP
 022 B000009 13-3039426
 022 C000009 4162
 022 D000009 0
 022 A000010 UBS SECURITIES
 022 B000010 13-3873456
 022 C000010 3517
 022 D000010 0
 023 C000000 2669031
 023 D000000 22349
 024 000000 Y
 025 A000001 UBS AG
 025 B000001 13-3340045
 025 C000001 E
 025 D000001 4228
 025 A000002 CREDIT SUISSE GROUP
 025 B000002 13-5659485
 025 C000002 E
 025 D000002 2132
 025 A000003 MERRILL LYNCH & CO., INC.
 025 B000003 13-2761776
 025 C000003 D
 025 D000003 29200
 025 D000004 0
 025 D000005 0
 025 D000006 0
 025 D000007 0
 025 D000008 0
 026 A000000 N
 026 B000000 Y
 026 C000000 N

Edgar Filing: FIRST FINANCIAL FUND INC - Form NSAR-A

026	D000000	Y	
026	E000000	Y	
026	F000000	N	
026	G010000	N	
026	G020000	N	
026	H000000	N	
027	000000	N	
028	A010000		0
028	A020000		0
028	A030000		0
028	A040000		0
028	B010000		0
028	B020000		0
028	B030000		0
028	B040000		0
	PAGE	6	
028	C010000		0
028	C020000		0
028	C030000		0
028	C040000		0
028	D010000		0
028	D020000		0
028	D030000		0
028	D040000		0
028	E010000		0
028	E020000		0
028	E030000		0
028	E040000		0
028	F010000		0
028	F020000		0
028	F030000		0
028	F040000		0
028	G010000		0
028	G020000		0
028	G030000		0
028	G040000		0
028	H000000		0
030	A000000		0
030	B000000	0.00	
030	C000000	0.00	
031	A000000		0
031	B000000		0
032	000000		0
033	000000		0
035	000000		0
036	B000000		0
038	000000		0
042	A000000		0
042	B000000		0
042	C000000		0
042	D000000		0
042	E000000		0
042	F000000		0
042	G000000		0
042	H000000		0
043	000000		0
044	000000		0
045	000000	Y	
046	000000	N	
047	000000	Y	
048	000000		0.000
048	A010000		150000

Edgar Filing: FIRST FINANCIAL FUND INC - Form NSAR-A

048 A020000 1.125
 048 B010000 150000
 048 B020000 1.000
 048 C010000 0
 048 C020000 0.000
 PAGE 7
 048 D010000 0
 048 D020000 0.000
 048 E010000 0
 048 E020000 0.000
 048 F010000 0
 048 F020000 0.000
 048 G010000 0
 048 G020000 0.000
 048 H010000 0
 048 H020000 0.000
 048 I010000 0
 048 I020000 0.000
 048 J010000 0
 048 J020000 0.000
 048 K010000 300000
 048 K020000 0.875
 049 000000 N
 050 000000 N
 051 000000 N
 052 000000 N
 053 A000000 N
 054 A000000 Y
 054 B000000 Y
 054 C000000 N
 054 D000000 N
 054 E000000 N
 054 F000000 N
 054 G000000 Y
 054 H000000 N
 054 I000000 N
 054 J000000 Y
 054 K000000 N
 054 L000000 N
 054 M000000 Y
 054 N000000 N
 054 O000000 Y
 055 A000000 N
 055 B000000 Y
 056 000000 Y
 057 000000 N
 058 A000000 N
 059 000000 Y
 060 A000000 Y
 060 B000000 Y
 061 000000 0
 062 A000000 N
 062 B000000 0.0
 062 C000000 0.0
 062 D000000 0.0
 062 E000000 0.0
 062 F000000 0.0
 PAGE 8
 062 G000000 0.0
 062 H000000 0.0
 062 I000000 0.0
 062 J000000 0.0

Edgar Filing: FIRST FINANCIAL FUND INC - Form NSAR-A

062	K000000	0.0
062	L000000	0.0
062	M000000	0.0
062	N000000	0.0
062	O000000	0.0
062	P000000	0.0
062	Q000000	0.0
062	R000000	0.0
063	A000000	0
063	B000000	0.0
066	A000000	Y
066	B000000	N
066	C000000	Y
066	D000000	N
066	E000000	N
066	F000000	N
066	G000000	N
067	000000	N
068	A000000	N
068	B000000	N
069	000000	N
070	A010000	Y
070	A020000	Y
070	B010000	N
070	B020000	N
070	C010000	N
070	C020000	N
070	D010000	Y
070	D020000	N
070	E010000	N
070	E020000	N
070	F010000	N
070	F020000	N
070	G010000	N
070	G020000	N
070	H010000	N
070	H020000	N
070	I010000	N
070	I020000	N
070	J010000	Y
070	J020000	Y
070	K010000	N
070	K020000	N
070	L010000	Y
070	L020000	Y
070	M010000	Y
070	M020000	Y
	PAGE	9
070	N010000	Y
070	N020000	N
070	O010000	Y
070	O020000	Y
070	P010000	Y
070	P020000	N
070	Q010000	N
070	Q020000	N
070	R010000	Y
070	R020000	N
071	A000000	139487
071	B000000	152607
071	C000000	417179
071	D000000	33

Edgar Filing: FIRST FINANCIAL FUND INC - Form NSAR-A

072 A000000	6	
072 B000000		544
072 C000000		6661
072 D000000		0
072 E000000		0
072 F000000		2161
072 G000000		410
072 H000000		0
072 I000000		11
072 J000000		149
072 K000000		0
072 L000000		33
072 M000000		57
072 N000000		0
072 O000000		0
072 P000000		0
072 Q000000		0
072 R000000		17
072 S000000		22
072 T000000		0
072 U000000		0
072 V000000		0
072 W000000		317
072 X000000		3177
072 Y000000		0
072 Z000000		4028
072AA000000		24710
072BB000000		0
072CC010000		0
072CC020000		49834
072DD010000		0
072DD020000		0
072EE000000		0
073 A010000		0.0000
073 A020000		0.0000
073 B000000		0.0000
073 C000000		0.0000
PAGE	10	
074 A000000		41
074 B000000		29200
074 C000000		0
074 D000000		0
074 E000000		0
074 F000000		390538
074 G000000		0
074 H000000		0
074 I000000		170
074 J000000		7136
074 K000000		0
074 L000000		339
074 M000000		77
074 N000000		427501
074 O000000		4946
074 P000000		1052
074 Q000000		0
074 R010000		0
074 R020000		0
074 R030000		0
074 R040000		237
074 S000000		0
074 T000000		421266
074 U010000		29201

Edgar Filing: FIRST FINANCIAL FUND INC - Form NSAR-A

074	U020000	0
074	V010000	14.43
074	V020000	0.00
074	W000000	0.0000
074	X000000	770
074	Y000000	0
075	A000000	0
075	B000000	432727
076	000000	12.70
077	A000000	N
078	000000	N
086	A010000	0
086	A020000	0
086	B010000	0
086	B020000	0
086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	COMMON STOCK
087	A020000	320228109
087	A030000	FF
088	A000000	N
	PAGE	11
088	B000000	N
088	C000000	N
088	D000000	N
SIGNATURE	CARL JOHNS	
TITLE	VICE PRESIDENT	