Prothena Corp plc Form 4 November 13, 2015

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB 3235-0287 Number:

January 31, Expires: 2005

10% Owner

_ Other (specify

0.5

Estimated average burden hours per response...

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Koller Martin

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

Director

X_ Officer (give title

(Last)

(First) (Middle) Prothena Corp plc [PRTA] 3. Date of Earliest Transaction

(Month/Day/Year)

11/13/2015

C/O PROTHENA BIOSCIENCES INC, 650 GATEWAY

BOULEVARD

4. If Amendment, Date Original

below) Chief Medical Officer

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year) Applicable Line)

(Street)

X Form filed by One Reporting Person Form filed by More than One Reporting

SOUTH SAN		
FRANCISCO,	CA	94080

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securition Dispose (Instr. 3, 4	ed of (` '	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Ordinary Shares, par value \$0.01 per share	11/13/2015		Code V M	Amount 30,000	(D)	Price \$ 6.73	30,000	D		
Ordinary Shares, par value \$0.01 per share	11/13/2015		S <u>(1)</u>	30,000	D	\$ 65.0324	0	D		

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	etiorDerivative Securities		tiorDerivative Expiration Date Securities (Month/Day/Year)) Acquired (A) or Disposed of (D) (Instr. 3, 4,		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (right to buy)	\$ 6.73	11/13/2015		M		30,000	<u>(3)</u>	03/31/2023	Ordinary Shares	30,000	

Reporting Owners

Reporting Owner Name / Address	Kelationships					
	Director	10% Owner	Officer	Other		
Koller Martin			Chief			
C/O PROTHENA BIOSCIENCES INC			Medical			
650 GATEWAY BOULEVARD						
SOUTH SAN FRANCISCO, CA 94080			Officer			

Signatures

/s/ A.W. Homan, as Attorney-in-Fact for Martin Koller, M.D., M.P.H.

11/13/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale reported in the Form 4 was effected pursuant to a Rule 10b5 1 trading plan adopted by the Reporting Person.
- The transaction was executed in multiple trades in prices ranging from \$65.00 to \$65.54, inclusive. The price reported in Column 4 above reflects the weighted average sale price. The Reporting Person hereby undertakes to provide upon request to the SEC staff, the Issuer, or a stockholder of the Issuer, information regarding the number of shares and prices at which the transaction was effected.
- (3) The shares subject to the option will vest and become exercisable as to 25% of the total number of shares subject to the option on March 25, 2014 and with respect to 1/48th of the total number of shares subject to the option in successive, equal monthly installments on each

Reporting Owners 2

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monthly anniversary thereafter, subject to the Reporting Person's continued employment or service relationship with the Issuer on each such vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.