Edgar Filing: LONGMORE-GRUND RHONDA A - Form 4

LONGMORE-GRUND RHONDA A

Form 4

August 22, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue.

See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * LONGMORE-GRUND RHONDA A

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

Alliance HealthCare Services, Inc [AIQ]

(Check all applicable)

(Last)

(First)

(Middle)

(Zip)

2. Transaction Date 2A. Deemed

3. Date of Earliest Transaction (Month/Day/Year)

Director X_ Officer (give title

below)

10% Owner Other (specify

C/O ALLIANCE HEALTHCARE

SERVICES, INC., 100 BAYVIEW

08/21/2017

EVP and CFO

CIRCLE, SUITE 400

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

NEWPORT BEACH, CA 92660

(City) (State)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3.

4. Securities Acquired Transaction(A) or Disposed of (D)

5. Amount of Securities

Beneficially

6. Ownership 7. Nature of Form: Direct Indirect Beneficial (D) or Indirect (I) Ownership

(Instr. 4)

(9-02)

(Instr. 4)

(Month/Day/Year)

Execution Date, if

Code (Instr. 8)

> Following Reported

> > 0

Owned

Transaction(s)

Code V Amount (D) Price

(A)

(Instr. 3, 4 and 5)

(Instr. 3 and 4)

Common Stock

1.Title of

Security

(Instr. 3)

08/21/2017

 $D^{(1)}$ 2,500 13 25 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 8.04	08/21/2017		D(1)	41,717	<u>(2)</u>	05/30/2023	Common Stock	41,717
Stock Option (right to buy)	\$ 6.93	08/21/2017		D <u>(1)</u>	17,371	(2)	03/23/2026	Common Stock	17,371

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

LONGMORE-GRUND RHONDA A C/O ALLIANCE HEALTHCARE SERVICES, INC. 100 BAYVIEW CIRCLE, SUITE 400 NEWPORT BEACH, CA 92660

EVP and CFO

Signatures

Lindsay Soule, as Attorney-in-Fact for Rhonda A. Longmore-Grund

08/21/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Disposed of pursuant to the closing of the transactions contemplated by that certain Agreement and Plan of Merger, dated as of April 10, 2017 by and among the Issuer, Tahoe Investment Group Co., Ltd., THAIHOT Investment Company Limited, THAIHOT Investment

- (1) Company US Limited and Alliance Healthcare Services Merger Sub Limited. Each share of Issuer common stock held by the reporting person was cancelled in the merger and converted into the right to receive \$13.25 in cash per share, without interest and less any applicable withholding taxes (the "Merger Consideration").
- Options were cancelled in the merger and converted into the right to receive an amount in cash determined by multiplying (i) the excess (2) of the Merger Consideration over the option exercise price of such option by (ii) the number of shares of common stock subject to such option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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