Schuller George John JR Form 4 June 06, 2018

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

burden hours per

Estimated average

**OMB APPROVAL** 

response...

subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Schuller George John JR Issuer Symbol PEABODY ENERGY CORP [BTU] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Other (specify X\_ Officer (give title C/O PEABODY ENERGY 03/05/2018 below) CORPORATION, 701 MARKET PRESIDENT - AUSTRALIA **STREET** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting ST. LOUIS, MO 63101

| (City)                               | (State)                              | Zip) Table  | e I - Non-D                            | erivative                        | Secur                       | ities Acq   | uired, Disposed of   | f, or Beneficiall  | ly Owned  |
|--------------------------------------|--------------------------------------|---|--|----------------------------------|-----------------------------|-------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactio<br>Code<br>(Instr. 8) | 4. Securi on(A) or Di (Instr. 3, | spose<br>4 and<br>(A)<br>or | d of (D)    | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 03/05/2018                           |   | A                                      | 219 <u>(1)</u>                   | ( )                         | \$<br>40.67 | 67,636   | D  |   |
| Common<br>Stock                      | 06/04/2018                           |   | A                                      | 139 (2)                          | A                           | \$<br>45.47 | 67,775   | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc | cisable and     | 7. Title                 | and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------|-----------------|--------------------------|--------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | orNumber   | Expiration D  | ate             | Amount                   | t of   | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/   | Year)           | Underly                  | ing    | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e             |                 | Securitie                | es     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |               |                 | (Instr. 3                | and 4) |             | Own    |
|             | Security    |                     |                    |            | Acquired   |               |                 |                          |        |             | Follo  |
|             | •           |                     |                    |            | (A) or     |               |                 |                          |        |             | Repo   |
|             |             |                     |                    |            | Disposed   |               |                 |                          |        |             | Trans  |
|             |             |                     |                    |            | of (D)     |               |                 |                          |        |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |               |                 |                          |        |             |        |
|             |             |                     |                    |            | 4, and 5)  |               |                 |                          |        |             |        |
|             |             |                     |                    |            |            |               |                 | ^                        | mount  |             |        |
|             |             |                     |                    |            |            |               |                 |                          | mount  |             |        |
|             |             |                     |                    |            |            | Date          | Expiration Date | or<br>Title Number<br>of |        |             |        |
|             |             |                     |                    |            |            | Exercisable   |                 |                          |        |             |        |
|             |             |                     |                    | C + V      | (A) (D)    |               |                 |                          |        |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |               |                 | S                        | hares  |             |        |

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

Schuller George John JR C/O PEABODY ENERGY CORPORATION 701 MARKET STREET ST. LOUIS, MO 63101

PRESIDENT - AUSTRALIA

### **Signatures**

/s/ Scott T. Jarboe, 06/06/2018 attorney-in-fact

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The shares of Common Stock represent exempt dividend equivalents on prior restricted stock unit awards, and were previously included in the reporting person's ownership reported on a Form 4 filed on April 5, 2018.
- (2) The shares of Common Stock represent exempt dividend equivalents on prior restricted stock unit awards.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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