### Edgar Filing: QUALCOMM INC/DE - Form 3

#### QUALCOMM INC/DE

Form 3 April 17, 2019

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### OMB APPROVAL

OMB 3

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Zoom Video Communications, Inc. [ZM] QUALCOMM INC/DE (Month/Day/Year) 04/17/2019 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) **5775 MOREHOUSE DRIVE** (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_\_ 10% Owner Director \_X\_ Form filed by One Reporting Officer Other Person (give title below) (specify below) SAN DIEGO, CAÂ 92121 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	

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Series A Preferred Stock	(1)	(1)	Class B Common Stock (2)	3,333,332	\$ <u>(1)</u>	D	Â
Series C Preferred Stock	(1)	(1)	Class B Common Stock (2)	543,176	\$ <u>(1)</u>	D	Â
Series D Preferred Stock	(1)	(1)	Class B Common Stock (2)	353,324	\$ <u>(1)</u>	D	Â
Class B Common Stock	(2)	(2)	Class A Common Stock (2)	14,552	\$ <u>(2)</u>	I	See footnote (3)

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1 8	Director	10% Owner	Officer	Other		
QUALCOMM INC/DE 5775 MOREHOUSE DRIVE SAN DIEGO, CA 92121	Â	ÂX	Â	Â		

### **Signatures**

QUALCOMM Incorporated, By: /s/ Adam Schwenker, Name: Adam Schwenker, Title: Assistant Secretary

04/17/2019

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of preferred stock shall automatically convert into Class B Common Stock on a 1:1 basis immediately prior to the completion of the Issuer's initial public offering and has no expiration date.
  - Each share of Class B Common Stock is convertible at any time at the option of the Reporting Person into one share of Class A Common Stock and has no expiration date. Following the completion of the Issuer's initial public offering of Class A Common Stock, the Class B
- (2) Common Stock will convert automatically into Class A Common Stock upon the earlier of: (i) any transfer except for certain "Permitted Transfers" as defined in the Issuer's amended and restated certificate of incorporation, or (ii) the occurrence of certain other events as specified in the Issuer's amended and restated certificate of incorporation.
- (3) Shares are held by Qualcomm Global Trading Pte. Ltd., an indirect, wholly-owned subsidiary of the Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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