

# Edgar Filing: DUFFY WILLIAM F - Form 3

DUFFY WILLIAM F  
Form 3  
March 07, 2002

FORM 3

U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a)  
Holding Company Act of 1935 or Section 30(f) of the Investment Company A

<p>1. Name and Address of Reporting Person*</p> <p>Duffy William F.</p> <hr/> <p>(Last) (First) (Middle)</p> <p>c/o Barnes &amp; Noble, Inc.</p> <p>100 Middlesex Boulevard</p> <hr/> <p>(Street)</p> <p>Jamesburg New Jersey 08831</p> <hr/> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Re- quiring Statement (Month/Day/Year)</p> <p>2/25/02</p> <p>3. I.R.S. Identification Number of Reporing Person, if an entity (voluntary)</p>	<p>4. Issuer Name and Ticker or</p> <p>Barnes &amp; Noble, Inc.</p> <p>5. Relationship of Reporting to Issuer (Check all app</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% O</p> <p><input checked="" type="checkbox"/> Officer (give <input type="checkbox"/> Other</p> <p>title below)</p> <p>Executive Vice Presiden</p> <p>Distribution and Logist</p>
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Table 1 - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. N O
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None			

If the form is filed by more than one Reporting Person, see Instruction 5(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or i

(Print or Type Responses)

Table II - Derivative Securities Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5
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	Date Exer- cisable	Expira- tion Date	Amount or Number of Shares	
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Stock Option

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(Right to Buy) (1)	(2)	3/2/08	Common Stock	4,573	\$34.75
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#### Explanation of Responses:

- (1) Granted under Barnes & Noble, Inc. 1996 Incentive Plan in transaction exempt under Rule 16b
- (2) One-fourth of these options became exercisable on March 3 of each of the years 1999 through

\*\* Intentional misstatements or omissions of facts constitute  
Federal Criminal Violations.  
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/William F.

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\*\*Signature of Reporter  
William F. Duffy

Note: File three copies of this form, one of which must be manually signed.  
If space provided is insufficient, See Instruction 6 for procedure.

(Print or Type Responses)