INTL FCSTONE INC.

Form 4

August 04, 2015

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

**OMB APPROVAL** 

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subject to Section 16. Form 4 or Form 5 obligations

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

may continue. See Instruction

1(b).

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Henze Daryl K

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

INTL FCSTONE INC. [INTL]

(Check all applicable)

(Last)

(City)

(First)

(State)

(Middle)

3. Date of Earliest Transaction

Officer (give title below)

10% Owner Other (specify

329 PARK AVENUE N., SUITE 350 07/31/2015

(Month/Day/Year)

(Month/Day/Year)

X\_ Director

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

(Street)

WINTER PARK, FL 32789

4. If Amendment, Date Original Filed(Month/Day/Year)

Α

(Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if 3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A) or

\$0

Α

Reported Transaction(s)

(Instr. 3 and 4) Price

16,352

Restricted

Shares of Common

07/31/2015

Amount (D)

226

D

Stock (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: INTL FCSTONE INC. - Form 4

| 1. Title of | 2.          | 3. Transaction Date |                    | 4.         | 5.         | 6. Date Exerc |                 | 7. Title      |          | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------|-----------------|---------------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | onNumber   | Expiration D  | ate             | Amour         |          | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/   | Year)           | Underl        | ying     | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e             |                 | Securit       | ies      | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |               |                 | (Instr.       | 3 and 4) |             | Owne   |
|             | Security    |                     |                    |            | Acquired   |               |                 |               |          |             | Follo  |
|             | ·           |                     |                    |            | (A) or     |               |                 |               |          |             | Repo   |
|             |             |                     |                    |            | Disposed   |               |                 |               |          |             | Trans  |
|             |             |                     |                    |            | of (D)     |               |                 |               |          |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |               |                 |               |          |             |        |
|             |             |                     |                    |            | 4, and 5)  |               |                 |               |          |             |        |
|             |             |                     |                    |            | , )        |               |                 |               |          |             |        |
|             |             |                     |                    |            |            |               |                 |               | Amount   |             |        |
|             |             |                     |                    |            |            | Date          | Expiration Date | or<br>Title N | or       |             |        |
|             |             |                     |                    |            |            | Exercisable   |                 |               | Number   |             |        |
|             |             |                     |                    |            |            |               |                 |               | of       |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |               |                 |               | Shares   |             |        |

# **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |  |  |
|---|---------------|-----------|---------|-------|--|--|--|
|   | Director      | 10% Owner | Officer | Other |  |  |  |
| Henze Daryl K<br>329 PARK AVENUE N.<br>SUITE 350<br>WINTER PARK, FL 32789 | X             |           |         |       |  |  |  |

# **Signatures**

Daryl K. Henze 08/04/2015

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Acquired through the Company's Restricted Stock Program. Shares vest equally on anniversary in years one, two and three.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2