INTL FCSTONE INC.

Form 3

January 05, 2016

### FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

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**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement INTL FCSTONE INC. [INTL] A Maurer Mark Lowry (Month/Day/Year) 01/04/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) **230 SOUTH** (Check all applicable) LASALLE, SUITE 10-500 (Street) 6. Individual or Joint/Group 10% Owner Director Officer \_\_X\_\_ Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting CEO - subsidiary entity Person CHICAGO, ILÂ 60604 Form filed by More than One

(State)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

(City)

2. Amount of Securities Beneficially Owned (Instr. 4)

Ownership Form: Direct (D)

4. Nature of Indirect Beneficial

Reporting Person

Ownership (Instr. 5)

or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Zip)

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	1
	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I)	(Instr. 5)

(Instr. 5)

Stock Options 01/16/2015(1) 01/16/2018 Common 3,000 \$ 19.24 D Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>Fg</b> -	Director	10% Owner	Officer	Other		
Maurer Mark Lowry 230 SOUTH LASALLE SUITE 10-500 CHICAGO Â II Â 60604	Â	Â	Â	CEO - subsidiary entity		

# **Signatures**

Mark L. Maurer 01/05/2016

\*\*Signature of Person Date

Reporting Person

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On 1/16/2015 the Optionee became entitled to exercise 1000 shares of the Option. Commencing on 1/16/2016 the Optionee shall be entitled to exercise 1000 shares of the Option. Commencing on 1/16/2017 the Optionee shall be entitled to exercise 1000 shares of the Option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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