Edgar Filing: Maurer Mark Lowry - Form 4

Maurer Mark I Form 4	5										
February 15, 2	Л	D STATES					NGE (COMMISSION	OMB	PPROVAL 3235-0287	
Check this if no longer subject to Section 16. Form 4 or Form 5 obligations may contin <i>See</i> Instruct 1(b).	Filed p ue. Section 1	Washington, D.C. 20549							burden hou response	Number: January 31 Expires: 2005 Estimated average burden hours per response 0.5	
(Print or Type Re	sponses)										
1. Name and Address of Reporting Person <u>*</u> Maurer Mark Lowry			2. Issuer Name and Ticker or Trading Symbol INTL FCSTONE INC. [INTL]				g	5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle) 3. E (Md 230 SOUTH LASALLE, SUITE 02/ 10-500 (Street) 4. E			3. Date of Earliest Transaction (Month/Day/Year) 02/13/2019					(Check all applicable) <u>Director</u> 10% Owner Officer (give title X_Other (specify below) CEO - subsidiary entity			
				4. If Amendment, Date Original Filed(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 			
CHICAGO, I	L 60604								More than One Re		
(City)	(State)	(Zip)	Table	I - Non-De	rivative S	ecurit	ties Aco	quired, Disposed o	f, or Beneficia	lly Owned	
	2. Transaction I (Month/Day/Ye	ar) Executi any	emed on Date, if /Day/Year)	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3, 4	(A) o of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock								10,905	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: Maurer Mark Lowry - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and A Underlying S (Instr. 3 and	Securitie
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou Numb Shares
Stock Options	\$ 45 <u>(2)</u>	02/13/2019		А	100,000	12/05/2021 <u>(3)</u>	12/05/2026	Common Stock	100,0

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Maurer Mark Lowry 230 SOUTH LASALLE SUITE 10-500 CHICAGO, IL 60604				CEO - subsidiary entity			

Signatures

**Signature of

Reporting Person

Mark L. Maurer 02/15/2019

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The option grant was approved by the Company's Board of Directors on December 5, 2018, subject to shareholder approval of an
 (1) Amendment to the Stock Option Plan under which the options were granted. The Company's shareholders approved the Amendment on February 13, 2019. The Amendment increased the number of shares that could be granted under the plan.

(2) The strike price of the grant was set by the Company's Board of Directors at 113.5% of the closing price on December 4, 2018.

(3) The options vest in equal tranches on each of the third, fourth, fifth, sixth and seventh anniversaries of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.