

MCCONNELL J WARD JR

Form 4

June 07, 2018

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box
 if no longer
 subject to
 Section 16.
 Form 4 or
 Form 5
 obligations
 may continue.
See Instruction
 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
 MCCONNELL J WARD JR

2. Issuer Name **and** Ticker or Trading
 Symbol
 ARTS WAY MANUFACTURING
 CO INC [ARTW]

5. Relationship of Reporting Person(s) to
 Issuer

(Check all applicable)

(Last) (First) (Middle)
 5556 HIGHWAY 9
 (Street)

3. Date of Earliest Transaction
 (Month/Day/Year)
 05/25/2018

☐ Director ☒ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

ARMSTRONG, IA 50514

4. If Amendment, Date Original
 Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
 Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
 Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	05/25/2018		P		787 ⁽¹⁾	A	\$ 2.75
					1,784,465	I	

By J. Ward
 McConnell,
 Jr. Living
 Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 information contained in this form are not
 required to respond unless the form
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SEC 1474
 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)**

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price Paid for Underlying Securities (Instr. 3)
				Code	V	(A)	(D)	
Stock Option (Right to Buy)	\$ 8.66					04/28/2011	04/28/2021	Common Stock
								2,000
Stock Option (Right to Buy)	\$ 6.75					04/26/2012	04/26/2022	Common Stock
								2,000
Stock Option (Right to Buy)	\$ 6.4					04/25/2013	04/25/2023	Common Stock
								2,000
Stock Option (Right to Buy)	\$ 6.15					04/29/2014	04/29/2024	Common Stock
								2,000
Stock Option (Right to Buy)	\$ 4.7					04/22/2015	04/22/2025	Common Stock
								2,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MCCONNELL J WARD JR 5556 HIGHWAY 9 ARMSTRONG, IA 50514	X	X		

Signatures

/s/ Amanda Lorentz as attorney-in-fact for J. Ward McConnell, Jr. pursuant to power of attorney previously filed.

06/07/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transaction was made in accordance with the terms of a Rule 10b5-1 trading plan previously adopted by the reporting person in accordance with Rule 10b5-1 of the Securities Exchange Act of 1934, as amended.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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